

THE
CARTER CENTER



PEACE HEALTH HOPE

Annual Report 2019



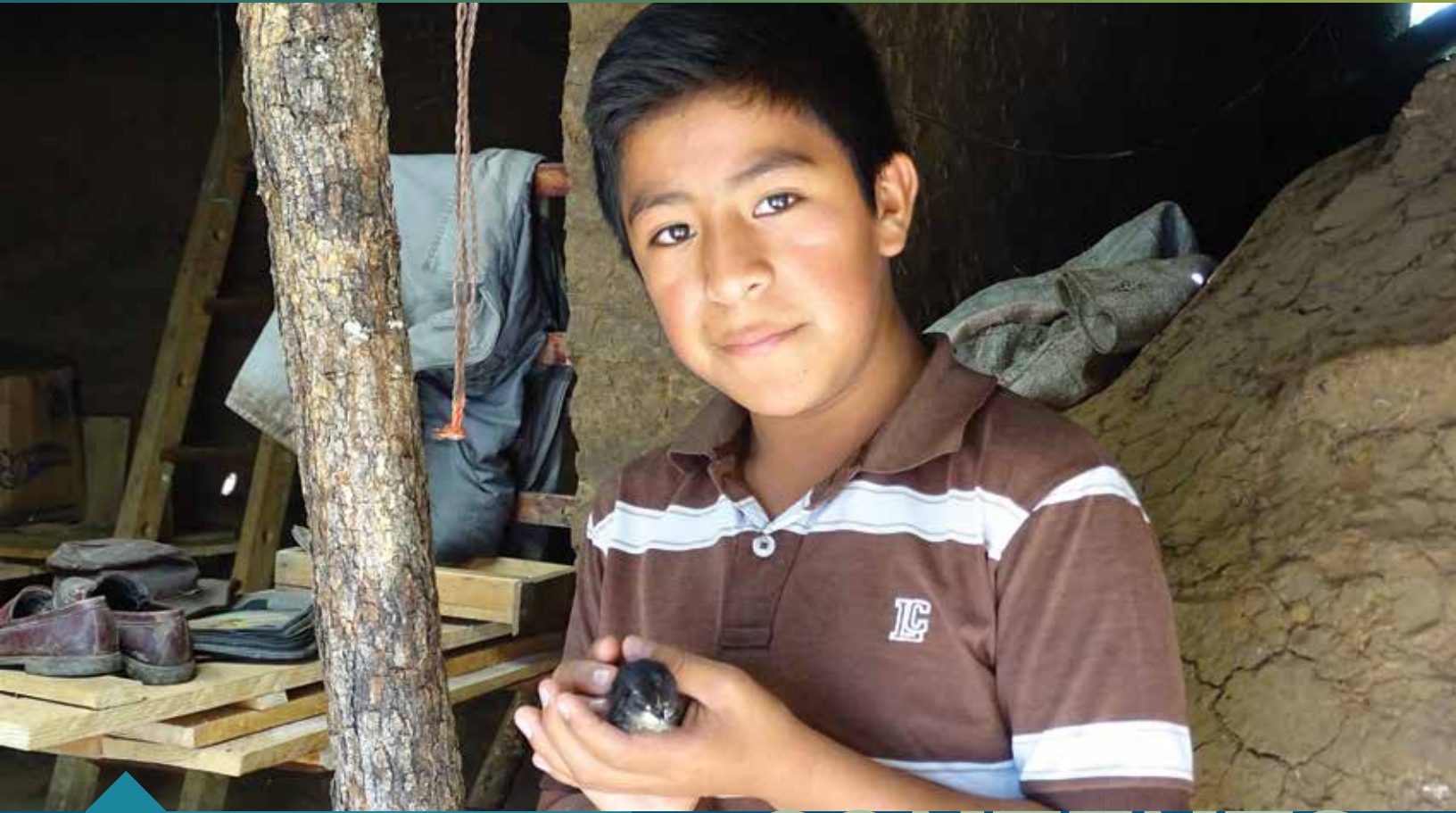
WAGING PEACE. FIGHTING



Cover: A Nigerien woman and her 2-year-old son prepare for a visit with health workers.

In the Dominican Republic, Maria Fernanda Sanson (second from left), supervises health mediators working to eliminate lymphatic filariasis, a parasitic disease.

DISEASE. BUILDING HOPE.



A formal request for information from a Guatemalan municipality tracked down the hens the women of this boy's village had requested.

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OUR MISSION

The Carter Center is guided by the principles of our founders, Jimmy and Rosalynn Carter. Founded, in partnership with Emory University, on a fundamental commitment to human rights and the alleviation of human suffering, the Center seeks to prevent and resolve conflicts, enhance freedom and democracy, and improve health.

The Center believes that people can improve their own lives when provided with the necessary skills, knowledge, and access to resources.

The Center emphasizes action and measurable results in the lives of the people it seeks to help.

The Center values the courage to break new ground, fill vacuums, and address the most difficult problems in the most difficult situations.

The Center recognizes that solving difficult problems requires careful analysis, relentless persistence, and the recognition that failure is an acceptable risk.

The Center is nonpartisan, and it seeks to work collaboratively with other organizations from the highest levels of government to local communities.



A woman travels a dusty road in South Sudan, where The Carter Center is fighting trachoma and Guinea worm disease.

THE CARTER CENTER

OVERVIEW

The Carter Center was founded by former U.S. President Jimmy Carter and his wife, Rosalynn, in 1982. A nongovernmental organization, the Center has helped to advance peace and health in more than 80 countries.



In Yangon, Myanmar, members of a voter education team talk to commuters about the upcoming March 2019 election, the first with universal suffrage.

KEY ACCOMPLISHMENTS

- Leading an eradication campaign that has reduced incidence of Guinea worm disease from an estimated 3.5 million cases in 1986 to just 54 in 2019
- Observing 110 elections in 39 countries to help establish and strengthen democracies
- Furthering avenues to peace in Ethiopia, Eritrea, Nepal, Liberia, Sudan, South Sudan, Uganda, Mali, the Korean Peninsula, Haiti, Bosnia and Herzegovina, Syria, and the Middle East
- Strengthening international standards for human rights and the voices of individuals defending those rights in their communities worldwide
- Pioneering new public health approaches to preventing or controlling devastating neglected diseases in Africa and Latin America, including establishing village-based health interventions in thousands of communities in Africa
- Advancing efforts to improve mental health care and diminish stigma against people with mental illnesses

AT A GLANCE



STAFF

Approximately 230 employees at Atlanta headquarters and 2,000 in field offices around the world



DONATIONS

The Center received \$212 million in cash, pledges, and in-kind gifts in 2018–2019. The Center is a 501(c)(3) charitable organization, financed by private donations from individuals, foundations, corporations, and international development assistance agencies. Contributions by U.S. citizens and companies are tax-deductible as allowed by law.





Two girls play in a square in Kathmandu, Nepal, where The Carter Center has been working to further peace and democracy for more than a decade.

A MESSAGE FROM
PRESIDENT JIMMY CARTER

The Carter Center is a beacon of hope for millions around the world in the most challenging places. Rosalynn and I thank you for your support.

Jimmy Carter



Former U.S. President Jimmy Carter and former First Lady Rosalynn Carter, co-founders of The Carter Center

FROM THE **CHAIRMAN** AND THE **CEO**



Jason Carter



Ambassador (ret.)
Mary Ann Peters

The Carter Center works to fill vacuums in the world, seeking out problems that no one else is addressing. Such work carries us to some of the most challenging places on earth.

Sometimes the challenges are environmental, such as our fight to rid the Americas of the parasitic disease river blindness, which takes health workers deep into the Amazon Rainforest, accessible only by boat or small plane and days of hiking on jungle paths.

A challenge can be institutional, such as in the Democratic Republic of the Congo, where a Carter Center report two years ago exposed \$750 million missing from the state-owned mining company's ledger.

In Chad and Ethiopia, our Guinea worm eradication campaign faces a scientific challenge as some animals have become infected with the disease.

In Mali, supporting sustainable peace is a challenge. The Carter Center is serving as the Independent Observer of the implementation of the country's 2015 peace agreement and has warned that progress has been sporadic and slow.

Fortunately, meeting difficult challenges has been our stock-in-trade since 1982. In the Americas, we have enlisted the help of the indigenous Yanomami health workers to enable us to more effectively reach this last group of people who are vulnerable to river blindness. With Carter Center support, the Democratic Republic of the Congo has made "meaningful progress" toward extractive sector transparency, according to an international board, and we are monitoring the enactment of recent human rights and governance reforms resulting from the Center's work.

Scientists around the world are now assisting our Guinea worm staff with research into every facet of disease transmission. And in Mali, former rebels recently joined with army soldiers to form new combined battalions, a small victory in the formal peace process.

Thank you for your unwavering support as we tackle these challenges.



Jason Carter
Chairman
Board of Trustees



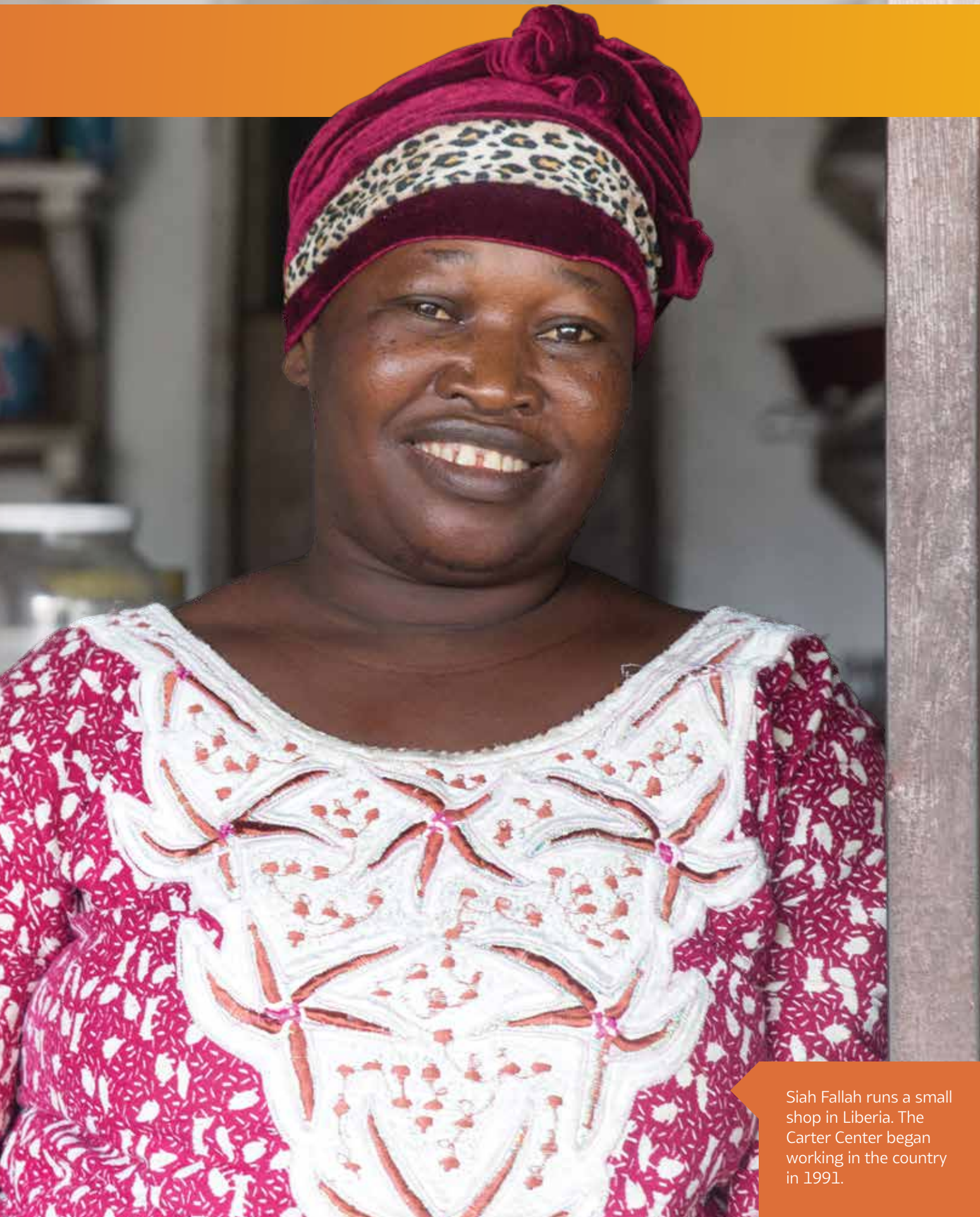
Ambassador (ret.)
Mary Ann Peters
Chief Executive Officer



Village chiefs meet at a Fulani camp for internally displaced people in Mopti, Mali, where The Carter Center is monitoring implementation of a 2015 peace agreement. The Center also is fighting trachoma and Guinea worm disease in the country.

PEACE PROGRAMS





Siah Fallah runs a small shop in Liberia. The Carter Center began working in the country in 1991.

DEMOCRACY PROGRAM

The Carter Center sent missions to observe three elections in Tunisia, the only country where the 2011 Arab Spring protests led to a transition to a more democratic political system. The Center also sent small teams to observe the Muskogee (Creek) Nation's elections for the tribe's principal chief, second chief, and National Council. The Carter Center released a report analyzing Kenya's electoral dispute resolution system, focusing on issues in Kenya's 2017 polls. The 2017 presidential election was widely debated across the African continent, as the results were

annulled and a fresh election held, even though it was not clear that the problems had impacted the election outcome. The program also completed a report analyzing Kenya's law requiring a quota of female candidates for elective offices. In addition, the program continued its support to civil society partners working on elections and political participation in Liberia, the Democratic Republic of the Congo, Tunisia, and Myanmar. Finally, the program launched an initiative on digital threats to democracy, including developing new open-source tools to assess social media around elections and organizing policy dialogue on human rights and social media.

TUNISIANS VOTE, TIMES 3

Tunisians were asked to cast ballots in three successive elections in less than a month, between Sept. 15 and Oct. 13, 2019, following the death of the country's president. Citizens voted for president, members of Parliament, and then president again in a runoff. The Carter Center observed all three elections.

Turnout was low in the first two polls but increased substantially for the runoff presidential elections. The presidential race overshadowed the legislative elections, despite Parliament's relative importance over the executive under Tunisia's new constitution. Tunisians elected a politically inexperienced candidate for president who ran as an independent.


The Carter Center's 80-plus observation mission was led by Salam Fayyad, former Palestinian prime minister. The observer team, which included citizens from more than 30 countries, visited 337 polling stations and all 27 tally centers in Tunisia for the runoff election. Observers reported only minor irregularities in a small number of the polling stations visited.



RULE OF LAW PROGRAM

The Carter Center partners with governments, civil society, and international bodies to advance information, accountability, and justice and seeks to transform lives and support communities and nations with better governance. In Guatemala, Liberia, and Bangladesh, the Center and its partners assisted women who filed nearly 1,000 requests for information, empowering them to make positive changes related to education, land, business, and

personal security. Through additional efforts in Liberia, the Center helped the Liberia National Police pass and begin implementing policies that permit citizens to hold police officers accountable and increase trust through the filing of complaints and commendations. The Center also provided legal support to approximately 1,500 individuals in marginalized communities, trained over 1,000 traditional and community leaders in alternative dispute resolution, and began efforts to raise the awareness of more



Baby in tow, a man in capital Tunis casts his ballot for parliamentary elections on Oct. 6, 2019.

than 40,000 citizens of their rights in the criminal justice sector and the obligations of the justice system to uphold the rule of law. In Bermuda, The Carter Center used its Implementation Assessment Tool to measure the extent and quality of implementation of access to information legislation, providing a road map for improvement.

CONFLICT RESOLUTION PROGRAM

As the official Independent Observer of implementation of the peace agreement in Mali, The Carter Center issued three reports on the situation there in 2019. The Center also laid groundwork for hybrid peace-health initiatives

Toure Abdoulay is director of Le Ecole Anghamali Primary School in Kidal, Mali.



in Mali and Sudan, where local peacebuilding in two states aims to improve access for health work. Continuing its close monitoring of the conflict in Syria, The Carter Center and its partners explored new options for conflict transformation that could arise from conditional sanctions relief and published a series of reports that identified

areas with high levels of contamination by unexploded ordnance and mines, based on information the Center has collected and curated since 2013. Several workshops were aimed at helping religious and community leaders in Europe, North Africa, and the United States counter Islamophobia and prevent grassroots violent extremism.

AN EDUCATION IN PATIENCE

Count schools in northern Mali among the long list of victims in the country's 2012 insurgency.

Last June, a handful of school directors met with a Carter Center team in the town of Kidal to hear firsthand about the myriad problems facing schools in the region. The news was not good.

Although open, schools are barely operating on shoestring budgets, and most rely on untrained volunteer teachers. People who can scrape together enough money send their children to school in the capital, Bamako.

As the Independent Observer to implementation of the 2015 peace agreement in Mali, Carter Center teams regularly travel the country to meet with groups and determine whether the peace process is moving forward. Their goal is to determine whether each of the agreement's 78 items has been completed, and, if not, why.

Progress has been slow and sporadic, but some positive signs have emerged, such as former rebels joining with army soldiers to form new combined battalions.

In this fragile environment, The Carter Center's presence is helping to keep the process from falling apart. Mali's schoolchildren await.

HUMAN RIGHTS PROGRAM

Efforts by Carter Center-supported human rights networks in the Democratic Republic of the Congo resulted in the establishment of crucial laws to protect human rights defenders in two of the six provinces where the networks are active. The International Extractive Industries Transparency Initiative Board recognized the DRC's "meaningful progress" in improving extractive sector transparency and acknowledged the Carter Center's important role in supporting these efforts. The Center's annual Human Rights Defenders Forum, held in Atlanta in October, followed the theme "Building Solidarity Toward

Equality for All." Forum participants called on local and national governments and international organizations to increase efforts to protect activists who are threatened or attacked and increase meaningful long-term support for their work. Protection includes offering activists political, moral, and physical support in times of crisis; creating robust programs to support women activists; and eliminating impunity for those who attack human rights and peace activists. Governments should also provide needed resources and other support, they said. The Center worked with nearly 200 religious, traditional, and community leaders in Nigeria and Ghana to inform and empower the women and girls in their communities.

CONNECTING HUMAN RIGHTS TO PEACE

Human rights activists and scholars from 28 countries gathered at The Carter Center in October 2019 for the 12th Human Rights Defenders Forum.

Attendees over the four-day event discussed global protection for activists, challenges for women defenders and peacemakers, and the importance of mutually supporting civil, economic, political, and social rights. Defenders talked about their struggles and frustrations but also offered words of wisdom and hope.

Halimat Jibril, an advocate for women's rights who traveled from Nigeria for the forum, said that peace and human rights go hand in hand. "We believe that if people understand the concept of human rights and are able to apply it to their lives, then there will be more peaceful coexistence," Jibril said. "Then government can cut down on the bills for buying arms and ammunitions. Then development can take place because everybody's living peacefully, and they're able to go about their normal businesses fully."

CHINA PROGRAM

Forty years after President Carter ended three decades of diplomatic estrangement between the United States and China, The Carter Center convened a three-day forum on Sino-American relations. The forum featured panel discussions with dozens of leading U.S. and Chinese scholars, policymakers, and veterans of statecraft, and the Center published 13 papers from participating scholars. The Center also hosted the sixth annual conference of the International Consortium for China Studies, titled “The Rise of China and Its Impact on Developing

Countries.” For this conference, the Center commissioned white papers to analyze the impact of China’s increasing engagement in Latin America and the Caribbean from the Chinese, American, and Latin American perspective. The Center organized workshops in Johannesburg, South Africa, and Addis Ababa, Ethiopia, which brought together government representatives, nongovernmental organizations, and private-sector actors to explore avenues for cooperation. As a result of the Center’s 2018 workshop in Djibouti, deconfliction efforts spearheaded by the Djiboutian government are underway.



Halimat Jibril represented the Federation of Muslim Women’s Association in Nigeria at the 2019 Human Rights Defenders Forum.

HEALTH PROGRAMS





The indigenous Yanomami live in the Amazon Rainforest, the only place in the Western Hemisphere with active transmission of river blindness.

GUINEA WORM ERADICATION PROGRAM

The Carter Center reported just 54 human cases in 2019, along with 1,994 infections in animals, mostly dogs in Chad. (Figures are provisional.) Intensified surveillance and interventions are taking place, particularly in Chad, which had 49 of the human cases. A single case reported in Cameroon is believed to have been imported from Chad. The Carter Center is now working in Angola, which had one case in 2019. When the Center started leading the global eradication program in 1986, there were

an estimated 3.5 million cases in 21 countries in Africa and Asia. Progress has been achieved entirely through behavioral change, as there is no medication to treat the parasitic disease nor vaccine to prevent it. The Carter Center board of trustees in 2019 launched a two-year, \$40 million campaign to support the challenging final stages of eradicating Guinea worm disease. The Carter Center Challenge Fund will match donations of \$100,000 or more to the Guinea Worm Eradication Program, up to \$10 million per year in 2019 and 2020, for a total of \$20 million in matching funds.

Nakopir Natiwi faced 15 Guinea worms in two years.



RIVER BLINDNESS ELIMINATION PROGRAM

The Carter Center assists six countries in delivering Mectizan® (donated by Merck & Co., Inc.) to populations at risk for this parasitic disease, also called onchocerciasis. Over 20 million treatments (provisional figure) were provided in 2019 in Center-assisted mass drug administration programs. The largest effort is in Nigeria, followed by Ethiopia. The goal of the program is to reach a point where transmission of the disease is interrupted and treatments can safely be stopped; a total

of 6.8 million treatments have been halted in accordance with World Health Organization guidelines. The Carter Center-assisted country closest to elimination in Africa is Uganda, where treatments have been stopped in 15 of 17 transmission zones. In the Americas, four countries have eliminated river blindness and 94% of Mectizan treatments are no longer needed. About 30,000 Yanomami indigenous people living in the areas bordering Brazil and Venezuela remain at risk; Yanomami health agents are helping deliver Mectizan and other health services to their communities.

A PAINFUL REMINDER

Nakopir Natiwi sticks closer to home than his fellow Toposa cattlemen. His left leg is atrophied, preventing him from traveling as fast and far as them. It's a constant reminder of the 15 Guinea worms he endured over a two-year period many years ago.

Natiwi, who lives in southeastern South Sudan, contracted the parasitic disease by drinking water contaminated with the tiny water fleas that harbor the early stage of the parasite. After maturing in his body for a year, the worms burned a hole through the skin as they emerged from the body. It's a painful process.

"Guinea worm is worse than a knife," Natiwi said. "The cut of a knife hurts for an instant, but the pain of Guinea worm lasts all day and all night."

The atrophy in his leg was likely caused when a well-meaning healer tried to cut one or more worms out of his skin. But that can bisect a worm, causing secondary infection and other problems.

Fortunately, Natiwi's children won't know the pain of Guinea worm disease. Since 1986, The Carter Center has led the international campaign to eradicate it worldwide. And in 2019, just four cases of the disease were reported in South Sudan.

TRACHOMA PROGRAM

Several countries are on track to eliminate blinding trachoma, a bacterial eye disease, as a public health problem. Mali may be able to eliminate blinding trachoma as a public health problem by the end of 2020. In Niger, the program is expected to clear the remaining surgical backlog by 2023 and eliminate trachoma as a public health problem by 2025. In Ethiopia's hyperendemic

Amhara region, the program relies on a network of over 7,000 government health extension workers and about 30,000 village-based volunteers to reach nearly 20 million at-risk people. Since 2001, The Carter Center has supported more than 708,000 surgeries in the region to correct eyelids damaged by the disease. Despite ongoing conflict throughout South Sudan, The Carter Center

Lemlem Molla trains volunteers to deliver river blindness treatments.



remains committed to supporting the National Trachoma Program as it works toward elimination of trachoma as a public health problem by 2030. In Sudan, periodic unrest impacted activities in certain areas, but the Center supported the delivery of medication and surgery as security allowed. The Carter Center concluded its work in Uganda in 2019.

LYMPHATIC FILARIASIS ELIMINATION PROGRAM

The Carter Center assisted in providing mass drug administration to over 11 million people in 2019 to prevent this devastating mosquito-borne parasitic illness. Lymphatic filariasis obstructs lymphatic vessels, resulting in painful, irreversibly swollen limbs and sometimes hydrocele, a

COMMUNITY COMMUNICATION

Lemlem Molla puts her strong communication skills to use as a health extension worker in northwestern Ethiopia. “Women often won’t talk to their husbands about their health issues, but they will come to me,” she said. One of Molla’s responsibilities is to train community volunteers who distribute the drug Mectizan® (donated by Merck & Co., Inc.) to combat onchocerciasis, also called oncho or river blindness. The Carter Center supplies the Mectizan and provides support for the training and materials the volunteers use to educate and treat their communities. “We can eliminate oncho very soon because there is good support from the kebele (local area) and woreda (county) offices and higher levels of government, as well as other partners,” Molla said. She knows, though, that it all depends on those village volunteers. “Without community participation, we can’t succeed in any of our activities, including oncho elimination,” she said.

swelling of the scrotum. Those who are most seriously afflicted develop elephantiasis, resulting in disfigurement, disability, and social stigma. In Africa, The Carter Center helps distribute Mectizan® (donated by Merck & Co., Inc.) and albendazole (donated by GSK), which, taken in combination, stop mosquitoes from transmitting the parasite from infected to uninfected people.

SCHISTOSOMIASIS CONTROL PROGRAM

People who bathe in natural water sources risk contracting schistosomiasis, which is spread by small freshwater snails. Schistosomiasis causes bloody urine, anemia, organ injury, and stunted growth. Working in Nigeria, The Carter Center assisted in providing over 1 million treatments to schoolchildren in 2019 to treat and prevent this disease. Praziquantel tablets were donated by Merck KGaA.

A POSITIVE SIGHT

Haboubacar Mamane Nassirou can provide a sight-saving surgery in 18 minutes. The confident nurse has been trained in a simple procedure that corrects trichiasis, a painful condition in which scarring turns a person's eyelids inward so that they scrape the cornea with every blink.

"I can operate on seven to 10 patients a day, depending on whether they need one eyelid or both eyelids done," he said matter-of-factly from a clinic in Hamdara, a village in southern Niger. He has performed these procedures for two years.

Trichiasis is the most severe stage of trachoma, a bacterial eye disease transmitted by flies. Repeated infections over time cause the scarring, which can lead to eventual blindness. The simple surgery, offered free by The Carter Center, corrects the problem. The Center also supports preventive measures such as latrine building, face washing, and antibiotics that keep people from needing the surgery.

But for those who do, confident, well-trained surgeons like Nassirou provide a hopeful future to their communities.



HISPANIOLA INITIATIVE

The Carter Center works with the ministries of health in Haiti and the Dominican Republic to eliminate malaria and lymphatic filariasis (LF) from the countries' shared island, Hispaniola. Malaria cases decreased 93% island-wide between 2010 and 2019. The reduction is greatest in Haiti, where approximately 6,500 cases occurred in 2019, representing a 94% decrease compared to the more than 84,000 cases reported in 2010. Burden of disease

is lower in the Dominican Republic, where only 1,244 cases were reported in 2019. Similar progress has been achieved against LF. In Haiti, 118 (84%) of the 140 communes have met the criteria for stopping annual mass drug administration for LF. In the Dominican Republic, all previously endemic areas qualified to stop mass drug administration for LF in 2018 and are conducting post-treatment surveillance. Surveillance is ongoing in other parts of the country to confirm the absence of LF transmission nationwide.



Haboubacar Mamane Nassirou (right) sutures an eye during sight-saving surgery.

PUBLIC HEALTH TRAINING INITIATIVE

Through this initiative, The Carter Center aims to improve the training of frontline health professionals who treat mothers, infants, and children in Nigeria and Sudan. The Carter Center partners with the federal and state ministries of health in the two countries to produce a more skilled workforce by enhancing teaching and learning environments through the provision of classroom equipment; updating preservice training curricula to

ensure high-quality instruction; conducting in-service training with health workers to refresh and advance their skills; providing pedagogical training to faculty; and strengthening monitoring, evaluation, and reporting systems to measure impact. Through these efforts, more than 700 Nigerian and Sudanese instructors are now better equipped to train nurses, midwives, and other frontline health workers; over 10,000 classroom equipment items have been distributed; and training curricula reflecting global standards in community health are utilized at institutions across Nigeria and Sudan.

NEW SCHOOL OF THOUGHT

In the middle of bustling W.V.S. Tubman High School in Monrovia, Liberia, you'll find a tranquil two-room oasis: the mental health clinic.

Behind its metal door, students talk to mental health clinician Leah D.T. Sorboh about problems major and minor. They talk about breakups and mean teachers and difficult tests. But others speak of things no child should experience — horror stories of physical and sexual abuse, of living as an orphan after watching their parents die from Ebola.

“Sometimes I feel very terrible because of the things they have suffered,” Sorboh said.

These terrible things are what motivated The Carter Center, which was already training mental health clinicians in Liberia, to start a new training program to build a cadre of child and adolescent mental health clinicians.

So far, The Carter Center has trained more than 100 child and adolescent mental health clinicians; Sorboh was part of the first class.

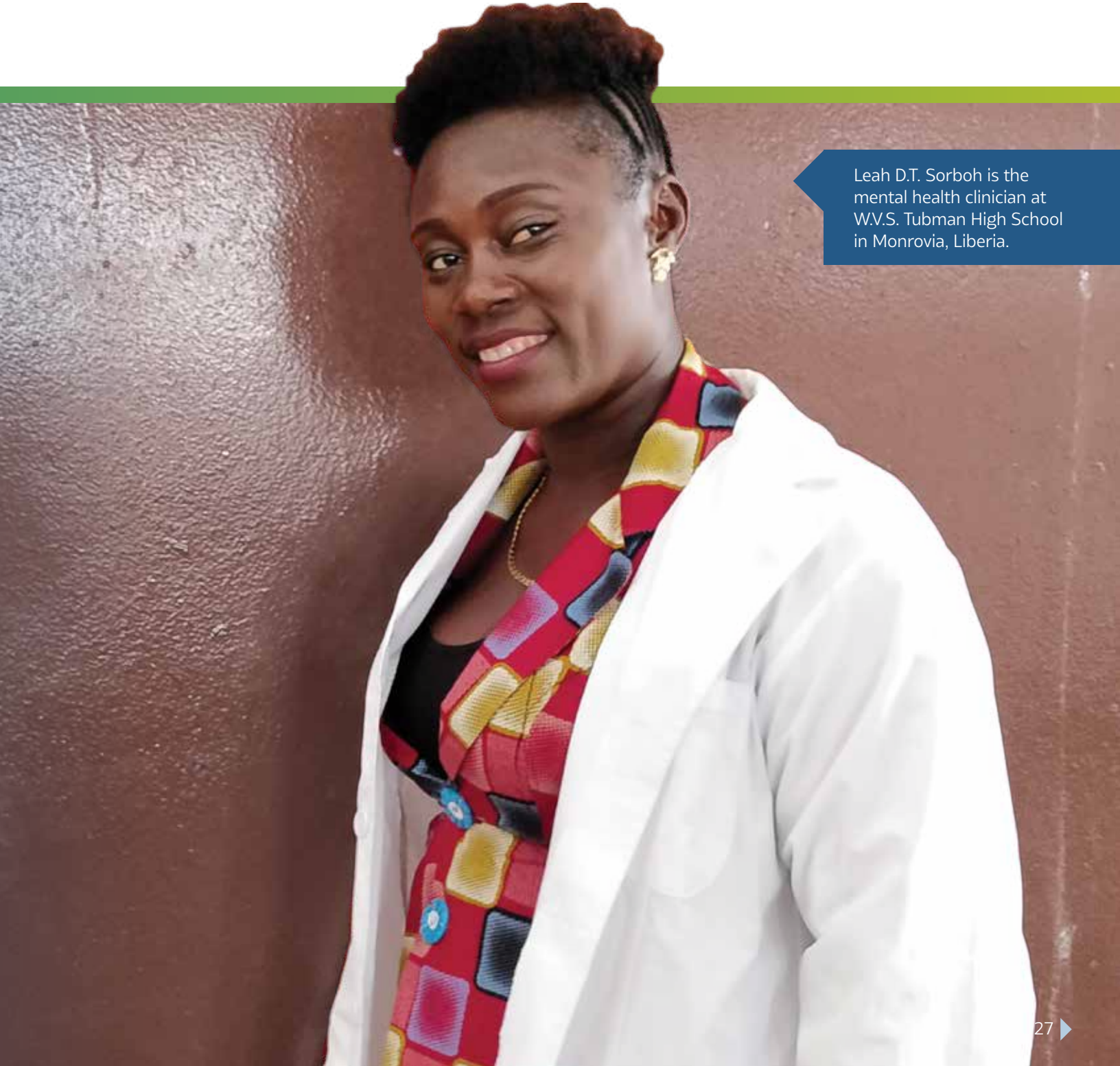
“Before The Carter Center came, we used to send students home when they were sick or behaving badly and tell them to come back when they were OK, but we don't send the children home anymore,” she said. “We talk to them.”



MENTAL HEALTH PROGRAM

Working with the Liberian government, The Carter Center has helped to create a corps of more than 340 locally trained mental health clinicians, 120 of them child and adolescent specialists. The Center is also working with London-based United for Global Mental Health to create greater awareness of mental health and a mental health financing mechanism within Liberia. In 2019, the Center launched a pilot program to assess the impact of mental health interventions for people living with lymphatic filariasis in Haiti. Since the Rosalynn

Carter Fellowships for Mental Health Journalism were established in 1996, fellows have produced more than 1,500 stories, documentaries, books, and other works on mental health topics. In May, the 24th Rosalynn Carter Georgia Mental Health Forum convened leaders and experts on parity for mental health insurance coverage, school-based behavioral health services, and behavioral health in older adults. The Center also worked with the Georgia Appleseed Center for Law and Justice and with Voices for Georgia's Children to launch a series of six School-Based Behavioral Health Forums in Georgia.



Leah D.T. Sorboh is the mental health clinician at W.V.S. Tubman High School in Monrovia, Liberia.

PHILANTHROPY





Children, such as these seven from southern Nigeria, are especially vulnerable to the parasitic disease schistosomiasis, which damages internal organs. The Carter Center supports annual drug treatment for the disease in six Nigerian states.

ABOUT OUR DONORS

As a not-for-profit organization, The Carter Center can realize its mission to wage peace, fight disease, and build hope around the world only through generous support from individuals, foundations, corporations, and governments. More than 116,629 donors contributed \$212 million in cash, pledges, and in-kind gifts in 2018–2019 to the Center’s peace and health programs.

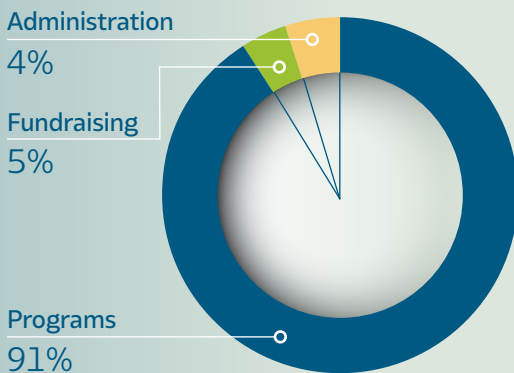
Support from individuals, foundations, corporations, and governments is critical to the Carter Center’s programs. Commitments from the IZUMI Foundation and The ELMA Foundation are examples of fundamental partners in the Center’s efforts to fight disease. In 2019, the IZUMI Foundation supported a three-year lymphatic filariasis morbidity management initiative in Plateau and Nasarawa states, Nigeria. Further, The ELMA Foundation pledged its support to the River Blindness Elimination Program in Uganda, aiding in efforts to interrupt transmission and demonstrate disease elimination in the highly endemic African country.

In addition to health efforts abroad, the Center strives to advance behavioral health services for children and adolescents across the state of Georgia. In partnership with donors like the Tull Charitable Foundation, the Waterfall Foundation, and the Betty and Davis Fitzgerald Foundation, The Carter Center raises awareness of Georgia’s progress to improve behavioral health in schools, encourages best practices for implementation of school-based behavioral health, and develops a strategy to address the greatest policy barriers.

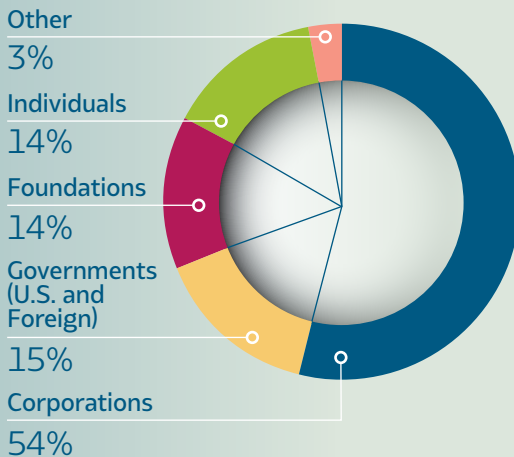
In addition to its health equity initiatives, the Center, with crucial support from donors, works for peace, championing fair elections and government transparency, among other efforts. Having been engaged in Syria since before 2011, The Carter Center has longstanding relationships with stakeholders and is uniquely positioned to assist mediators in meeting conflict transformation needs. The Syria Peace Initiative, co-financed by the European Union and Germany, has supported the Center’s efforts since 2016 to facilitate stakeholder workshops on local governance, civic rights, and negotiation capacity building for diverse Syrian and international participants.

Individual donors play a significant role in the work of The Carter Center. “I’m happy to be counted as a donor, fan, and advocate for The Carter Center. I welcome the opportunity to support an organization that so steadfastly seeks to wage peace, fight disease, and build hope in the most professional and humane manner possible,” said Terri Bullock, a longtime Ambassadors Circle member from Atherton, California, who is committed to helping those most in need around the world.

Total Expenses Fiscal Year 2018–2019



Sources of Support Fiscal Year 2018–2019



Standing with her daughter, a Tunisian woman shows her inked finger, evidence that she voted in the country's October elections for president and Parliament.



VOLUNTEERS

Some **113 volunteers** in the Atlanta area donated **6,784 hours** of service in 2018–2019. Their energy and devotion help the Center achieve much more with the resources it receives from its financial contributors. We thank these volunteers for their support.

A NOTE TO DONORS

The Carter Center appreciates the support of its many donors. Although we are able to list only those gifts that totaled \$1,000 or more from Sept. 1, 2018, through Aug. 31, 2019, we are grateful for each gift that helps to support the vital work of The Carter Center. Every effort has been made for accuracy. Should there be any omission or error, we apologize and ask that it be brought to our attention.

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FINANCIAL INFORMATION





In Myanmar, a line of people wait to vote. The Carter Center monitored national elections in 2015 and prepared voter education materials for the April 2019 municipal elections in Yangon, the capital city.

Consolidated Financial Statements

THE
CARTER CENTER



Independent Auditors' Report

Report on the Financial Statements

We have audited the accompanying consolidated financial statements of The Carter Center, Inc. and its subsidiary, which comprise the consolidated statements of financial position as of August 31, 2019 and 2018, and the related consolidated statements of activities, functional expenses, and cash flows for the years then ended, and the related notes to the consolidated financial statements.

Management's Responsibility for the Consolidated Financial Statements

Management is responsible for the preparation and fair presentation of these consolidated financial statements in accordance with U.S. generally accepted accounting principles; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of consolidated financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these consolidated financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the consolidated financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the consolidated financial statements. The procedures selected depend on the auditors' judgment, including the assessment of the risks of material misstatement of the consolidated financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the consolidated financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness

of significant accounting estimates made by management, as well as evaluating the overall presentation of the consolidated financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the consolidated financial statements referred to above present fairly in all material respects, the financial position of The Carter Center, Inc. and its subsidiary as of August 31, 2019 and 2018, and the changes in their net assets and their cash flows for the years then ended, in accordance with U.S. generally accepted accounting principles.

Emphasis of Matter

As discussed in note 2(o) to the consolidated financial statements, in fiscal year 2019, The Carter Center, Inc. and its subsidiary adopted new accounting guidance, Accounting Standards Update No. 2016-14, *Not-for-Profit Entities (Topic 958): Presentation of Financial Statements of Not-for-Profit Entities*. Our opinion is not modified with respect to this matter.

Other Reporting Required by Government Auditing Standards

In accordance with *Government Auditing Standards*, we have also issued our report dated March 23, 2020, on our consideration of the Center's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is solely to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the Center's internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the Center's internal control over financial reporting and compliance.

KPMG LLP

Atlanta, Georgia
March 23, 2020

CONSOLIDATED FINANCIAL STATEMENTS

CONSOLIDATED STATEMENTS OF FINANCIAL POSITION

August 31, 2019 and 2018

	2019	2018
Assets		
Cash and cash equivalents	\$ 45,791,190	23,285,493
Accounts receivable:		
Due from federal government	3,224,737	3,115,612
Other	953,055	601,888
Total accounts receivable	4,177,792	3,717,500
Contributions receivable, net (note 3)	3,163,448	16,739,344
Inventory (notes 4, 9, and 15)	7,558,523	9,146,650
Investments (notes 5 and 7)	790,258,610	768,788,615
Property, plant, and equipment, net (note 6)	4,591,071	4,984,595
Artwork	2,425,415	2,418,665
Other assets	37,501	235,603
Total assets	<u>\$858,003,550</u>	<u>829,316,465</u>
Liabilities and Net Assets		
Liabilities:		
Accounts payable and accrued expenses	\$ 7,643,038	6,868,587
Deferred revenue	2,046,809	2,363,257
Annuity obligations (note 7)	5,321,752	5,536,036
Total liabilities	<u>15,011,599</u>	<u>14,767,880</u>
Net assets (note 11):		
Without donor restrictions	300,681,066	281,801,620
With donor restrictions	542,310,885	532,746,965
Total net assets	<u>842,991,951</u>	<u>814,548,585</u>
Commitments and contingencies (notes 7, 8, and 16)		
Total liabilities and net assets	<u>\$858,003,550</u>	<u>829,316,465</u>

See accompanying notes to consolidated financial statements.

CONSOLIDATED STATEMENT OF ACTIVITIES

Year ended August 31, 2019 (with comparative totals for 2018)

	Without donor restrictions	With donor restrictions	Totals	
			2019	2018
Revenue and support				
Contributions and grants:				
Operating	\$ 34,347,587	—	34,347,587	34,184,226
Programs:				
Health	17,600,101	25,972,990	43,573,091	44,908,711
Peace	13,445,087	3,804,510	17,249,597	14,693,259
Cross-program	—	142,297	142,297	144,300
Time restricted	—	—	—	91,500
In-kind gifts (note 9):				
Health	—	116,066,345	116,066,345	253,590,984
Peace	—	—	—	811,000
Operating	83,419	—	83,419	268,254
Endowment	—	139,675	139,675	4,989,288
Total contributions and grants	65,476,194	146,125,817	211,602,011	353,681,522
Endowment fund earnings	12,142,782	21,031,371	33,174,153	31,174,127
Appreciation of endowment investments, net	7,398,735	12,467,879	19,866,614	27,367,498
Facilities use income	354,675	—	354,675	381,359
Interest and investment income	99,466	10,492	109,958	62,754
Net assets released from restrictions:				
Health	166,220,706	(166,220,706)	—	—
Peace	3,547,658	(3,547,658)	—	—
Cross-program	197,333	(197,333)	—	—
Time restricted	105,942	(105,942)	—	—
Total revenue and support	255,543,491	9,563,920	265,107,411	412,667,260
Expenses				
Program:				
Health	189,289,549	—	189,289,549	317,552,645
Peace	25,479,577	—	25,479,577	25,571,009
Cross-program	851,190	—	851,190	627,655
Fundraising	11,247,831	—	11,247,831	11,293,238
General and administrative	9,795,898	—	9,795,898	17,465,096
Total expenses	236,664,045	—	236,664,045	372,509,643
Change in net assets	18,879,446	9,563,920	28,443,366	40,157,617
Net assets at beginning of year	281,801,620	532,746,965	814,548,585	774,390,968
Net assets at end of year	\$300,681,066	542,310,885	842,991,951	814,548,585

See accompanying notes to consolidated financial statements.

CONSOLIDATED STATEMENT OF ACTIVITIES

Year ended August 31, 2018

	Without donor restrictions	With donor restrictions	2018
Revenue and support			
Contributions and grants:			
Operating	\$ 34,184,226	—	34,184,226
Programs:			
Health	18,190,266	26,718,445	44,908,711
Peace	11,237,974	3,455,285	14,693,259
Cross-program	—	144,300	144,300
Time restricted	—	91,500	91,500
In-kind gifts (note 9):			
Health	—	253,590,984	253,590,984
Peace	—	811,000	811,000
Operating	268,254	—	268,254
Endowment	—	4,989,288	4,989,288
Total contributions and grants	63,880,720	289,800,802	353,681,522
Endowment fund earnings	11,572,741	19,601,386	31,174,127
Appreciation of endowment investments, net	10,226,973	17,140,525	27,367,498
Facilities use income	381,359	—	381,359
Interest and investment income	62,376	378	62,754
Net assets released from restrictions:			
Health	285,811,228	(285,811,228)	—
Peace	4,195,076	(4,195,076)	—
Cross-program	225,756	(225,756)	—
Time restricted	19,575	(19,575)	—
Total revenue and support	376,375,804	36,291,456	412,667,260
Expenses			
Program:			
Health	317,552,645	—	317,552,645
Peace	25,571,009	—	25,571,009
Cross-program	627,655	—	627,655
Fundraising	11,293,238	—	11,293,238
General and administrative	17,465,096	—	17,465,096
Total expenses	372,509,643	—	372,509,643
Change in net assets	3,866,161	36,291,456	40,157,617
Net assets at beginning of year	277,935,459	496,455,509	774,390,968
Net assets at end of year	\$281,801,620	532,746,965	814,548,585

See accompanying notes to consolidated financial statements.

CONSOLIDATED STATEMENT OF FUNCTIONAL EXPENSES

Year ended August 31, 2019 (With comparative totals for 2018)

	Program expenses			Supporting expenses		Total	
	Health	Peace	Cross-program	Fundraising	General and administrative	2019	2018
Salaries and benefits	\$ 20,316,655	10,029,546	280,644	4,762,063	5,466,058	40,854,966	38,609,476
Consulting	9,246,420	3,384,621	53,754	355,766	1,024,845	14,065,406	14,065,136
Communications	1,746,401	474,594	5,963	2,176,008	229,614	4,632,580	4,644,605
Services	607,145	483,268	7,154	1,505,427	489,469	3,092,463	3,943,917
Office and equipment	1,685,314	923,745	254,030	170,124	448,207	3,481,420	3,529,055
Vehicles	3,924,982	526,302	39	650	1,104	4,453,077	5,664,394
Travel/meetings	18,594,035	4,457,247	49,381	1,441,105	139,780	24,681,548	25,608,455
Interventions (note 2(k))	123,600,671	—	—	—	—	123,600,671	256,008,013
Bad debt expense (note 3)	—	—	—	—	—	—	8,150,033
Other	1,018,479	146,851	3,238	347,594	1,166,033	2,682,195	2,750,551
Grants	7,988,436	4,650,271	167,427	—	—	12,806,134	7,041,165
	188,728,538	25,076,445	821,630	10,758,737	8,965,110	234,350,460	370,014,800
Common area and depreciation	561,011	403,132	29,560	489,094	830,788	2,313,585	2,494,843
Total expenses	\$189,289,549	25,479,577	851,190	11,247,831	9,795,898	236,664,045	372,509,643

See accompanying notes to consolidated financial statements.

CONSOLIDATED STATEMENT OF FUNCTIONAL EXPENSES

Year ended August 31, 2018

	Program expenses			Supporting expenses		Total
	Health	Peace	Cross-program	Fundraising	General and administrative	
Salaries and benefits	\$ 18,809,251	9,830,943	257,171	4,644,633	5,067,478	38,609,476
Consulting	9,011,301	3,626,742	70,341	345,571	1,011,181	14,065,136
Communications	1,860,733	499,370	6,129	2,054,764	223,609	4,644,605
Services	625,197	1,362,129	10,959	1,406,579	539,053	3,943,917
Office and equipment	1,869,248	1,104,477	2,011	164,639	388,680	3,529,055
Vehicles	5,044,097	617,491	46	1,035	1,725	5,664,394
Travel/meetings	17,742,640	5,872,580	75,555	1,750,236	167,444	25,608,455
Interventions (note 2(k))	256,008,013	—	—	—	—	256,008,013
Bad debt expense (note 3)	—	—	—	—	8,150,033	8,150,033
Other	876,585	426,864	6,882	400,400	1,039,820	2,750,551
Grants	5,105,309	1,760,856	175,000	—	—	7,041,165
	316,952,374	25,101,452	604,094	10,767,857	16,589,023	370,014,800
Common area and depreciation	600,271	469,557	23,561	525,381	876,073	2,494,843
Total expenses	\$317,552,645	25,571,009	627,655	11,293,238	17,465,096	372,509,643

See accompanying notes to consolidated financial statements.

CONSOLIDATED STATEMENTS OF CASH FLOWS

Years ended August 31, 2019 and 2018

	2019	2018
Cash flows from operating activities		
Change in net assets	\$28,443,366	40,157,617
Adjustments to reconcile change in net assets to net cash provided by operating activities:		
Depreciation	658,805	675,427
Bad debt expense	—	8,150,033
Appreciation of endowment investments, net	(19,866,614)	(27,367,498)
Appreciation of non-endowment investments, net	(32,033)	(116,677)
Donated artwork	(6,750)	(12,900)
Permanently restricted contributions	(139,675)	(4,989,288)
Net change in inventory balances due to noncash contributions and distributions	1,588,127	(2,614,482)
Changes in operating assets and liabilities:		
Accounts receivable	(460,292)	961,634
Contributions receivable, net of donor endowment	5,575,896	2,762,062
Other assets	198,102	41,901
Accounts payable and accrued expenses, deferred revenue, and annuity obligations	1,235,566	(6,731,101)
Net cash provided by operating activities	<u>17,194,498</u>	<u>10,916,728</u>
Cash flows from investing activities		
Purchase of property and equipment, net of related payables	(320,488)	(913,368)
Endowment fund earnings	(33,174,153)	(31,174,127)
Purchase of investments	(8,248,304)	(3,958,837)
Sale of investments	39,604,823	12,452,568
Net cash used in investing activities	<u>(2,138,122)</u>	<u>(23,593,764)</u>
Cash flows from financing activities		
Permanently restricted contributions, net	8,139,675	2,730,728
Payments on annuities and trusts	(690,354)	(693,046)
Net cash provided by financing activities	<u>7,449,321</u>	<u>2,037,682</u>
Net change in cash and cash equivalents	22,505,697	(10,639,354)
Cash and cash equivalents at beginning of year	23,285,493	33,924,847
Cash and cash equivalents at end of year	<u>\$45,791,190</u>	<u>23,285,493</u>

Supplemental disclosure

Property, plant, and equipment additions totaling \$0 and \$55,207 were included in accounts payable and accrued expenses at August 31, 2019 and 2018, respectively.

See accompanying notes to consolidated financial statements.

1. ORGANIZATION AND OPERATION

The Carter Center, Inc. (the Center), formerly known as Carter Presidential Library, Inc., and Carter Presidential Center, Inc., was organized on October 26, 1981, under the laws of the State of Georgia as a not-for-profit corporation to be operated exclusively for charitable and educational purposes.

The Center operates programmatically under two main action areas: Peace and Health. The Center also receives broad-based support deemed to be beneficial to all programs and categorized as Cross-program.

Initiatives in Peace include preventing and resolving conflict, protecting basic human rights, promoting rule of law, and monitoring elections in emerging democracies. The Health area strives to improve health in the United States and around the world. Initiatives include disease eradication and control and mental health reform. The Center operates field offices in various African, Asian, and Latin American countries, as needed, to fulfill its programmatic objectives.

The board of trustees (the Board) of the Center consists of President Carter and Mrs. Carter, the president of Emory University, nine members appointed by Emory University's board of trustees, and 10 members appointed by President Carter and those trustees not appointed by Emory University's board of trustees (Carter Center class of the Center's trustees). Additionally, Emory University's board of trustees has the authority to approve amendments to the Center's articles of incorporation and bylaws. Carter Center of Emory University (CCEU) (an affiliate of CCI) is a department of Emory University that was established to assist with the operations of the Center's programs. The financial data for CCEU is not included in these consolidated financial statements as it is considered part of the Emory University reporting entity.

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES AND OTHER MATTERS

A. Basis of Accounting

The consolidated financial statements of the Center have been prepared on the accrual basis of accounting in conformity with U.S. generally accepted accounting principles.

B. Principles of Consolidation

The consolidated financial statements of the Center include the activity of The Carter Center Collaborative, Inc. (CCCI), an affiliated tax-exempt not-for-profit corporation that supports the Center's mission through receipt of in-kind goods and services. All significant intercompany transactions are eliminated on consolidation.

C. Basis of Presentation

Net assets and revenue, expenses, gains, and losses are classified based on the existence or absence of donor-imposed restrictions. Accordingly, net assets of the Center and changes therein are classified and reported as follows:

Net assets without donor restrictions—Net assets that are not subject to donor-imposed stipulations.

Net assets with donor restrictions—Net assets subject to donor-imposed stipulations that may or will be met either by actions of the Center and/or the passage of time.

D. Cash and Cash Equivalents

The Center's cash and cash equivalents represent liquid financial instruments with an original maturity of three months or less that are not invested as part of the investment assets. These amounts, carried at cost, approximate fair value.

E. Contributions

Contributions received, including unconditional promises to give, are recognized as revenue when assets or a donor's unconditional commitment is received.

Unconditional promises to give that are expected to be collected within one year are recorded at net realizable value. Unconditional promises to give that are expected to be collected in future years are recorded at the present value of their estimated future cash flows. Unconditional promises to give are discounted using interest rates approximating fair value at the date of the gift. Conditional promises to give are not included as support until the conditions are substantially met.

Contributions are considered to be available for use without restriction unless specifically restricted by the donor. Amounts received that are designated for future periods or restricted by the donor for specific purposes

are reported as support that increases net assets with donor restrictions.

Contributed property and equipment is recorded at fair value at the date of donation. If donors stipulate how long the assets must be used, the contributions are recorded as support with donor restrictions. In the absence of such stipulations, contributions of property and equipment are recorded as support without donor restrictions.

F. In-Kind Gifts

Donated materials and equipment, primarily medical supplies, are reflected in the consolidated statements of activities as contributions at their estimated fair values. Donated services are reflected as contributions if the following criteria are met: (1) the services received create or enhance nonfinancial assets or (2) the services require specialized skills are provided by individuals possessing those skills, and would be purchased if not provided by donation. Donated services are recognized at fair value as the services are performed.

G. Inventory

Inventory primarily consists of Mectizan tablets, which are used to treat onchocerciasis (river blindness), and Zithromax tablets and syrup, which are used for trachoma control. Inventory is received as an in-kind donation and is valued using the first-in, first-out method at fair value at the time of the gift. Values, as determined by the donor and independent third-party pricing information, are utilized in management's fair value estimate.

H. Investments

Investments in the pooled investment fund (the Fund) (note 5) are stated at fair value as determined by the manager, Emory University. Emory University's pooled investments in securities and listed funds are valued using quoted prices in active markets, if available; otherwise, if the market is inactive, fair value is determined by Emory University in accordance with its valuation policy.

Investments in alternative investment fund structures held in the Fund are valued by Emory University using the net asset value (NAV) per share of the investment (or its equivalent), as a practical expedient, if (a) the underlying investment manager's calculation of NAV is fair-value based, and (b) Emory University

does not currently have plans to sell the investment for an amount different from NAV.

All other investments are stated at fair value based on quoted market prices. Investment return, including net realized and unrealized gains or losses, is recognized when earned and reported in the consolidated statement of activities.

The values of the investments in the pooled endowment fund determined by Emory University are evaluated by management of the Center who has concluded that such values are reasonable estimates of fair value at August 31, 2019 and 2018.

Investments are exposed to several risks, such as interest rate, currency, market, and credit risks. Due to the level of risk associated with certain investments, it is reasonably possible that changes in the values of investments will occur in the near term and that such changes could materially affect the amounts reported in the Center's consolidated financial statements.

The Fund may hold investments denominated in currencies other than the U.S. dollar. Thus, there is exposure to currency risk because the value of the investments denominated in other currencies may fluctuate due to changes in currency exchange rates. This can have an effect on the reported value of these assets.

The Fund's investment portfolio is subject to interest rate and credit risks for certain securities whose valuation would be impacted by changes in interest rates. The portfolio is also subject to the risk that the issuer of a debt security may be unable to pay interest or repay principal when it is due.

The value of securities held by the Fund may decline in response to certain economic events. Such events impacting valuation may include (but are not be limited to) economic changes; market fluctuations; regulatory changes; global and political instability; and currency, interest rate, and commodity price fluctuations.

I. Property, Plant, and Equipment

Property, plant, and equipment are stated at cost at the date of acquisition or at fair value at the date of donation, in the case of gifts. Depreciation is provided over the estimated useful lives of the respective assets on a straight-line basis.

J. Artwork

The Center has capitalized works of art and collectibles received since its inception at the estimated fair value at the date of acquisition. Works of art with service potential that diminishes very slowly over time are not subject to depreciation.

K. Functional Allocation of Expenses

The costs of providing the Center's various programs and supporting services have been summarized on a functional basis in the accompanying consolidated statements of activities and functional expenses. Accordingly, certain costs have been allocated among the programs and supporting services benefited. Intervention expenses included within the Center's health program service comprise the distribution of donated medications, primarily Mectizan and Zithromax, as well as filter cloth distribution, epidemiological surveys, and health education training and material. Expenses attributable to more than one functional expense category and the basis for allocation is as follows:

Expense	Allocation basis
Depreciation	Square footage
IT department	Estimates of time and costs of specific technology utilized

L. Federal and Other Government Grants

Federal and other government grant revenue is recognized as revenue and support without donor restrictions to the extent that the Center incurs actual expenditures under program agreements with federal or other government agencies. Amounts recorded as accounts receivable due from the federal government are for program grant expenses incurred in advance of the reimbursement of funds. Funds received in advance of program grant expenses are recorded as deferred revenue in the consolidated statements of financial position.

For the years ended August 31, 2019 and 2018, the Center received 4,078,835 GBP (\$5,191,352) and 893,843 GBP (\$1,187,153), respectively, in support of the Center's project of Electoral Observation in the Democratic Republic of Congo from the United Kingdom Department for International Development (DFID). DFID also contributed 331,336 GBP

(\$424,010) and 333,196 GBP (\$444,170) in support of Building Civil Society Capacity to Improve Industrial Mining Revenue Governance in the Democratic Republic of Congo for the years ended August 31, 2019 and 2018, respectively. Finally, DFID contributed 6,250,000 GBP (\$7,908,053) and 2,226,205 GBP (\$3,014,118) for the years ended August 31, 2019 and 2018, respectively, in support of the Guinea Worm Eradication Program.

For the year ended August 31, 2019, Irish Aid contributed 200,000 Euros (\$224,576) to support Advancing Women's Right of Access to Information in Liberia.

For the year ended August 31, 2018, Irish Aid contributed 130,000 Euros (\$150,087) to support Empowering Women and Girls in Sub-Saharan Africa. Also, the Governance Facility, a Secretariat for donor funds from the United Kingdom, Denmark, and Switzerland, contributed \$451,327 in support of Election Monitoring in Nepal during 2018.

M. Tax Status

The Center has received a determination letter from the Internal Revenue Service (IRS) dated December 16, 1991, and CCCI has received a determination letter from the IRS dated March 22, 2007, each indicating recognition as an organization described in Section 501(c)(3) of the Internal Revenue Code (the Code) whereby only unrelated business income, as defined by Section 512(a) of the Code, is subject to federal income tax.

The Center applies Financial Accounting Standards Board (FASB) Accounting Standards Codification Topic 740, *Income Taxes* (ASC 740), which addresses the accounting for uncertainty in income tax positions. It also provides guidance on when tax positions are recognized in an entity's financial statements and how the values of these positions are determined. There is currently no impact on the consolidated financial statements as a result of ASC 740.

N. Use of Estimates

The preparation of the consolidated financial statements requires management to make a number of estimates and assumptions relating to the reported amounts of assets and liabilities, the disclosure of contingent assets and liabilities at the date of the consolidated financial statements, and the reported amounts of revenue and expenses during the reporting

period. Significant items subject to such estimates and assumptions include the fair value of inventory, fair values of investments without readily determinable fair value, and obligations under split-interest agreements. Actual results could differ from those estimates.

O. Recently Issued Accounting Standards

In May 2014, the FASB issued Accounting Standards Update (ASU) No. 2014-09, *Revenue from Contracts with Customers* (ASU 2014-09), which requires an entity to recognize revenue to depict the transfer of promised goods or services to customers in an amount that reflects the consideration to which the entity expects to be entitled in exchange for those goods or services. An entity also should disclose sufficient quantitative and qualitative information to enable users of financial statements to understand the nature, amount, timing, and uncertainty of revenue and cash flows arising from contracts with customers. ASU 2014-09 is effective for the Center for fiscal years beginning after December 15, 2018 (as amended in August 2015 by ASU No. 2015-14, *Deferral of Effective Date*). The Center has not yet completed its assessment of the impact of the new standard on its consolidated financial statements.

In June 2018, the FASB issued ASU No. 2018-08, *Clarifying the Scope and Accounting Guidance for Contributions Received and Contributions Made* (ASU 2018-08), which clarifies whether grants (or similar transactions) should be accounted for as contributions or exchange transactions. ASU 2018-08 is effective for annual financial statements issued for fiscal years beginning after December 15, 2018. The Center has not yet determined the impact of the new standard on its current policies.

In January 2016, the FASB issued ASU No. 2016-01, *Recognition and Measurement of Financial Assets and Liabilities* (ASU 2016-01). ASU 2016-01 addresses certain aspects of recognition, measurement, presentation, and disclosure of financial instruments. The ASU is effective for not-for-profit entities for fiscal years beginning after December 15, 2018, with early adoption restricted to certain provisions and within certain time periods. Under the ASU, not-for-profit entities are no longer required to disclose fair-value information concerning financial instruments measured at amortized cost, such as long-term debt. The Center has not yet determined the impact of the new standard on its current policies.

In February 2016, the FASB issued ASU No. 2016-02, *Leases* (Topic 842) (ASU 2016-02). ASU 2016-02 requires the recognition of lease assets and lease liabilities by lessees for those leases classified as operating leases under ASC Topic 840, *Leases*. The accounting applied by a lessor under ASU 2016-02 is largely unchanged from that applied under ASC Topic 840. The ASU is effective for the Center's fiscal year beginning after December 15, 2019. The Center has not yet determined the impact of the new standard on its current policies.

In August 2016, the FASB issued ASU No. 2016-14, *Presentation of Financial Statements of Not-for-Profit Entities* (ASU 2016-14). ASU 2016-14 (1) reduces the number of net asset classes presented from three to two; (2) requires the presentation of expenses by functional and natural classification in one location; and (3) requires quantitative and qualitative disclosures about liquidity and availability of financial assets. The ASU is effective for annual financial statements issued for fiscal years beginning after December 15, 2017. The Center has adopted the provisions of ASU 2016-14 as of August 31, 2019, and applied the changes retrospectively. As a result of adopting this standard, certain prior year amounts have been reclassified.

Net asset reclassifications as of August 31, 2018, resulting from the adoption of ASU 2016-14 are as follows:

	<u>ASU 2016-14 classifications</u>	
	<u>Without donor restrictions</u>	<u>With donor restrictions</u>
Net asset classifications, as previously presented:		
Unrestricted net assets	\$281,801,620	—
Temporarily restricted net assets	—	367,792,451
Permanently restricted net assets	—	164,954,514
	<u>\$281,801,620</u>	<u>532,746,965</u>

In March 2019, the FASB issued ASU No. 2019-03, *Not-for-Profit Entities (Topic 958) — Updating the Definition of Collections* (ASU 2019-03). The amendments in ASU 2019-03 modify the definition of the term collections and require that a collection-holding entity disclose its policy for the use of proceeds from when collection items are deaccessioned (i.e., removed

from a collection). If a collection-holding entity has a policy that allows proceeds from deaccessioned collection items to be used for direct care, the ASU requires that the collection-holding entity disclose its definition of direct care. The ASU is effective for annual financial statements issued for fiscal years beginning after December 15, 2019. The Center has not yet determined the impact of the new standard on its current policies.

3. CONTRIBUTIONS RECEIVABLE

Contributions receivable consist of the following at August 31, 2019 and 2018:

	2019	2018
With donor restrictions:		
Endowment	\$ 199,323	8,199,323
Health	2,914,125	8,344,123
Cross-program	50,000	99,398
Time restricted	—	96,500
	<u>\$3,163,448</u>	<u>16,739,344</u>

The anticipated receipts of these receivables are as follows at August 31, 2019 and 2018:

	2019	2018
Less than one year	\$1,787,902	12,673,710
One to five years	1,400,000	4,150,000
More than five years	—	—
Less unamortized discount	(24,454)	(84,366)
	<u>\$3,163,448</u>	<u>16,739,344</u>

Contributions to be received after one year are discounted at an appropriate discount rate commensurate with the risks involved. During fiscal year 2019, these contributions were discounted using interest rates approximating fair value at the date of the gift at rates ranging from 1.22% to 1.70%. Amortization of discounts is recorded as additional contribution revenue in accordance with donor-imposed restrictions on the contributions. In the opinion of the Center's management, all contributions receivable

recorded at August 31, 2019 and 2018, are deemed fully collectible, with the exception of the following item.

The Center recorded a seven-year \$10 million pledge for river blindness control in Nigeria in fiscal year 2015. An initial payment of \$1.4 million was made that same year. As of August 31, 2018, no subsequent payment had been received, and the Center's management deemed this pledge fully uncollectible. The remaining balance at present value of \$8,150,033 was written off in fiscal year 2018. During fiscal year 2019, no pledges have been written off.

4. INVENTORY

Inventory was comprised of Zithromax medication in the amount of \$7,558,523 and \$9,146,650 as of August 31, 2019 and 2018, respectively.

5. INVESTMENTS

The Center invests the majority of its investments in a pooled investment fund managed and held in trust by Emory University. The Center's investments also include assets invested for its charitable gift annuities and charitable remainder trusts. These investments are presented in the accompanying consolidated statements of financial position at their fair values.

	Fair value	
	2019	2018
Pooled investments held at Emory University	\$780,191,924	758,184,387
Cash and cash equivalents	60,468	89,066
Fixed-income securities:		
Domestic mutual funds	3,688,578	3,675,599
Equities:		
Domestic stocks	4,795,497	5,301,682
Domestic mutual funds	666,433	665,815
International mutual funds	855,710	872,066
	<u>\$790,258,610</u>	<u>768,788,615</u>

As of August 31, 2019 and 2018, respectively, the Center's investment in the pooled investment fund totaled \$780,191,924 and \$758,184,387, representing approximately 11.0% and 10.9% of the pool at each of these dates. The composition of total pooled investments held at Emory University is as follows (in thousands):

	2019	2018
Short-term investments and cash equivalents	\$ 154,842	214,645
Public equity	2,981,734	2,815,109
Absolute return/fixed income	1,456,245	1,658,539
Private equity/venture capital	1,786,839	1,504,784
Real assets	727,957	737,395
Derivative instruments	16,394	(2,133)
	<u>\$7,124,011</u>	<u>6,928,339</u>

During the year ended August 31, 2019, Emory University revised its investment classifications presented above to align more closely with its recently updated investment policy statement and internal reporting. Global equity securities and commingled funds—equity, as well as alternative investments pursuing such strategies, have been recategorized as public equity. Investments in fixed-income securities and commingled funds—fixed income, along with alternative investments pursuing similar credit or opportunistic strategies, have been recategorized as absolute return/fixed income. Private markets, as well as investments in private securities, have been recategorized as private equity/venture capital, and natural resources and real estate partnerships have been recategorized as real assets. Prior-year comparative amounts have been reclassified to conform to the current year's presentation.

Emory University is subject to limitations and restrictions on its ability to redeem or sell certain of the investments included in its pooled investment fund. Such restrictions vary by investment type and range from required notice periods (generally 30 to 180 days after initial lockup periods) to specified terms at inception (generally 10 years). While there are no stated limits relative to the Center's withdrawals of its investment in Emory University's pooled investment fund, the timing and availability of future redemptions may be impacted by these restrictions.

6. PROPERTY, PLANT, AND EQUIPMENT

The components of property, plant, and equipment at August 31, 2019 and 2018, are as follows:

	2019	2018	Estimated useful lives
Land	\$ 636,732	636,732	N/A
Buildings	17,580,412	17,486,579	30 years
Building improvements	2,235,406	2,374,281	15 years
Grounds and land improvements	221,262	220,062	10 years
Furniture and fixtures	931,141	1,070,336	10 years
Office equipment	403,376	454,075	5 years
Computer equipment	154,464	217,180	3 years
	<u>22,162,793</u>	<u>22,459,245</u>	
Less accumulated depreciation	(17,571,722)	(17,474,650)	
	<u>\$ 4,591,071</u>	<u>4,984,595</u>	

Depreciation expense totaled \$658,805 and \$675,427 during 2019 and 2018, respectively.

7. SPLIT-INTEREST AGREEMENTS

The Center is a beneficiary under several types of split-interest agreements, primarily charitable gift annuities. Under these agreements, the Center acts as trustee of assets received from donors and remits to the donor or other designee a fixed amount for a specified period of time, normally until the death of the donor or other designee. Assets related to charitable gift annuities are recorded at their fair values when received, and an annuity payment liability is recognized at the present value of future cash flows expected to be paid to the donor or other designee. At the time of the gift, the Center recognizes contribution revenue for the remainder interest in an amount equal to the difference between the fair value of the assets received and the annuity liability. Discount rates and actuarial assumptions used to determine the annuity liability are typically based on factors, such as applicable federal interest rates and life-income beneficiary life expectancies, as determined by mortality tables published by the IRS. The changes in the value of these agreements are included in operating contributions and grants in the accompanying consolidated statements of activities.

Certain states have restrictions on investment allocations. Management of the Center believes it has complied

with any known restrictions in states in which it has received charitable gifts subject to such restrictions.

The fair value of the assets related to split-interest agreements is included in investments in the accompanying consolidated statements of financial position and totaled \$10,066,686 and \$10,604,228 at August 31, 2019 and 2018, respectively. The annuity liability related to these agreements is \$5,321,752 and \$5,536,036 at August 31, 2019 and 2018, respectively. The net contribution (expense) revenue reported for split-interest agreements totaled \$(104,249) and \$617,238 during the years ended August 31, 2019 and 2018, respectively.

8. LEASES

The Center leases space to various entities under noncancelable operating leases with various terms. The Center leases to CCEU approximately 20% of the Center's space under a lease for a term of 99 years, with a rental payment of \$1 per year. A business agreement with the Center's caterer has no annual rent; rather, the Center receives 5% to 10% of the tenant's gross revenue, as defined in such agreement. Rental income from these leases is included in facilities-use income in the accompanying consolidated statements of activities.

9. IN-KIND GIFTS

The components of in-kind gifts, donated goods, and services for the years ended August 31, 2019 and 2018, are as follows:

	2019	2018
Health:		
Medication	\$115,830,917	253,377,000
Other	235,428	213,984
	<u>116,066,345</u>	<u>253,590,984</u>
Peace:		
Software	—	811,000
Operating:		
Transportation	83,419	268,254
	<u>\$116,149,764</u>	<u>254,670,238</u>

Donations of medication were received primarily from two pharmaceutical companies during the years ended August 31, 2019 and 2018.

10. FAIR VALUE OF FINANCIAL INSTRUMENTS

The Center's estimates of fair value for financial assets and liabilities are based on the framework established in ASC Topic 820, *Fair Value Measurement*. This framework is based on the inputs used in valuations and gives the highest priority to quoted prices in active markets and requires observable inputs to be used in the valuations when available. The disclosure of fair-value estimates in the hierarchy described below is based on whether the significant inputs into the valuation are observable. In determining the level of the hierarchy in which the estimate is disclosed, the highest priority is given to unadjusted quoted prices in active markets and the lowest priority to unobservable inputs that reflect the Center's significant market assumptions. The three levels of the fair-value hierarchy are as follows:

Level 1—Valuations based on unadjusted quoted market prices for identical assets or liabilities in active markets.

Level 2—Valuations based on pricing inputs that are other than quoted prices in active markets, which are either directly or indirectly observable; examples include quoted prices in active markets of the underlying assets, quoted prices for similar assets or liabilities in active markets, quoted prices for identical or similar assets or liabilities in an inactive market, or valuations based on models where significant inputs are observable or can be corroborated by observable market data.

Level 3—Valuations are derived from other valuation methodologies, including pricing models, discounted cash-flow models, and similar techniques. Level 3 valuations incorporate certain assumptions and projections that are not observable in the market and require significant professional judgment in determining the fair value assigned to such assets or liabilities.

The fair-value hierarchy requires the use of observable market data when available. As required by ASC Topic 820, assets and liabilities are classified in their entirety based on the lowest level of input that is significant to the fair-value measurements.

Contributions receivable for current-year gifts are initially measured at fair value in the year the receivable is recorded based on the present value of future cash flows discounted at a rate commensurate with risks involved,

which is an application of the income approach. Current-year gifts included in contributions receivable reflected at fair value at August 31, 2019 and 2018, were approximately \$322,000 and \$2,434,000, respectively, and are classified as Level 3 within the fair-value hierarchy.

The carrying amount of cash and cash equivalents, accounts receivable, accounts payable and accrued liabilities, and deferred revenue approximates fair value because of the relative terms and short maturity of these financial instruments. The carrying value of annuity obligations approximates fair value and is based on the present value of the estimated future cash flows.

NAV was used as a practical expedient estimate of fair value relative to the Center's interest in the

Emory University pooled endowment fund. NAV, in many instances, may not equal fair value that would be determined pursuant to ASC Topic 820. There are no redemption restrictions on the Center with respect to its pooled investments held at Emory University. In accordance with ASU No. 2015-07, *Disclosures for Investments in Certain Entities That Calculate Net Asset Value per Share (or Its Equivalent)* — a consensus of the Emerging Issues Task Force, investments that are valued using the practical expedient, as described above, are labeled as NAV and are not categorized within the fair-value hierarchy. The Center does not hold any investments that would be categorized as Level 3 investments as of August 31, 2019 and 2018, respectively.

The following table summarizes the valuation of the Center's financial instruments, which are recorded at fair value by the ASC Topic 820 fair-value hierarchy levels as of August 31, 2019:

	Level 1	NAV	Total
Assets:			
Cash and cash equivalents	\$45,851,658	—	45,851,658
Fixed-income securities:			
Domestic mutual funds	3,688,578	—	3,688,578
Equities:			
Domestic stocks	4,795,497	—	4,795,497
Domestic mutual funds	666,433	—	666,433
International mutual funds	855,710	—	855,710
Interest in Emory University pooled endowment fund	—	780,191,924	780,191,924
Total	\$55,857,876	780,191,924	836,049,800

The following table summarizes the valuation of the Center's financial instruments, which are recorded at fair value by the ASC Topic 820 fair-value hierarchy levels as of August 31, 2018:

	Level 1	NAV	Total
Assets:			
Cash and cash equivalents	\$23,374,559	—	23,374,559
Fixed-income securities:			
Domestic mutual funds	3,675,599	—	3,675,599
Equities:			
Domestic stocks	5,301,682	—	5,301,682
Domestic mutual funds	665,815	—	665,815
International mutual funds	872,066	—	872,066
Interest in Emory University pooled endowment fund	—	758,184,387	758,184,387
Total	\$33,889,721	758,184,387	792,074,108

11. NET ASSETS

A. Net Assets Without Donor Restrictions

As of August 31, 2019 and 2018, net assets without donor restrictions are as follows:

	2019	2018
Undesignated	\$ 21,068,899	7,344,655
Board-designated for:		
Endowment investment, subject to spending policy and appropriation	279,612,167	274,456,965
	<u>\$300,681,066</u>	<u>281,801,620</u>

Net assets without donor restrictions include funds internally designated for endowment investment and program funding. These amounts are classified as net assets without donor restrictions due to the lack of explicit donor stipulations that restrict their use. Unrealized gains or losses on internally designated endowment funds are classified as changes in net assets without restrictions.

B. Net Assets With Donor Restrictions

As of August 31, 2019 and 2018, net assets with donor restrictions are available for the following purposes:

	2019	2018
Donor-restricted for specified purposes:		
Health	\$ 17,127,208	33,539,522
Peace	1,574,857	817,717
Cross-program	831,628	861,270
	<u>19,533,693</u>	<u>35,218,509</u>
Donor-restricted endowments subject to spending policy and appropriation, to support the following purposes:		
Health	15,641,445	15,246,390
Peace	2,279,759	2,240,651
Cross-program	340,094	333,510
General activities	504,515,894	479,611,405
	<u>522,777,192</u>	<u>497,431,956</u>
Subject to passage of time	—	96,500
	<u>\$542,310,885</u>	<u>532,746,965</u>

12. ENDOWMENT FUNDS

The Center's endowment funds consist of individual donor-restricted endowment funds and funds designated by the Board to function as endowments. The net assets associated with endowment funds, including those funds designated by the Board to function as endowment, are classified and reported based on the existence or absence of donor-imposed restrictions.

A. Interpretation of Relevant Law

The Center has interpreted the Uniform Prudent Management of Institutional Funds Act (UPMIFA), as adopted by the State of Georgia, as providing, among other things, expanded spending flexibility by allowing, subject to a standard of prudence, spending from an endowment without regard to the book value of the corpus of the fund. As a result of this interpretation, the Center classifies as net assets with donor restrictions (a) the original value of gifts donated to the endowment, (b) the original value of subsequent gifts to the endowment, and (c) accumulations to the endowment made in accordance with the direction of the applicable donor gift instrument at the time the accumulation is added to the fund.

In accordance with UPMIFA, the Center considers the following factors in making a determination to appropriate or accumulate donor-restricted endowment funds:

- The duration and preservation of the fund
- The purposes of the Center and the donor-restricted endowment fund
- General economic conditions
- The possible effect of inflation and deflation
- The expected total return from income and the appreciation of investments
- Other resources of the Center
- The investment policies of the Center.

The Center invests its endowment assets in a pooled investment fund managed by Emory University. The Board follows the investment return objectives and the spending policy, as directed and managed by Emory University's board of trustees, as set forth in more detail below.

B. Return Objectives and Risk Parameters

The Center supports Emory University's investment and spending policies, the objective of which is to provide a predictable stream of funding to programs supported by its endowment while seeking to maintain the purchasing power of the endowment assets. Under this investment policy, as approved by Emory University's board of trustees, the endowment assets are invested within risk tolerances of Emory University to provide an expected total return in excess of spending and inflation over the long term.

C. Strategies Employed for Achieving Objectives

To satisfy its long-term return objectives, the Center relies on Emory University's total return strategy in which investment returns are achieved through both capital appreciation (realized and unrealized) and current yield (interest and dividends). Emory University employs a diversified asset allocation

strategy across public equity, absolute return/fixed income, private equity/venture capital, real assets, and derivative instruments to achieve its long-term return objectives within a prudent risk framework.

D. Spending Policy and How the Investment Objectives Relate to Spending Policy

The Center follows Emory University's total return endowment spending policy that establishes the maximum amount of endowment investment return available to support current operating and capital needs. The Center considered the expected return on its endowment, including the effect of inflation in setting the annual appropriation amount. Accordingly, the Center expects the current spending policy to allow its endowment to maintain its purchasing power if projected growth rates are achieved. Additional real growth will be provided by new gifts and any excess investment return.

Endowment funds consist of the following as of August 31, 2019:

	Without donor restrictions	With donor restrictions	Total
Donor-restricted endowment funds	\$ —	522,777,192	522,777,192
Board-designated endowment funds	279,612,167	—	279,612,167
Total funds	<u>\$279,612,167</u>	<u>522,777,192</u>	<u>802,389,359</u>

Endowment funds consist of the following as of August 31, 2018:

	Without donor restrictions	With donor restrictions	Total
Donor-restricted endowment funds	\$ —	497,431,956	497,431,956
Board-designated endowment funds	274,456,965	—	274,456,965
Total funds	<u>\$274,456,965</u>	<u>497,431,956</u>	<u>771,888,921</u>

There were no underwater endowment funds during the fiscal year ended August 31, 2019 or 2018.

NOTES TO CONSOLIDATED FINANCIAL STATEMENTS

Changes in endowment funds for the year ended August 31, 2019, are as follows:

	Without donor restrictions	With donor restrictions	Total
Endowment funds, August 31, 2018	\$274,456,965	497,431,956	771,888,921
Contributions	111,769	139,675	251,444
Investment return:			
Endowment fund earnings	12,142,782	21,031,371	33,174,153
Appreciation of endowment investments, net	7,398,735	12,467,879	19,866,614
Total investment return	19,541,517	33,499,250	53,040,767
Appropriation of endowment assets for expenditure	(14,498,084)	(8,293,689)	(22,791,773)
Endowment funds, August 31, 2019	\$279,612,167	522,777,192	802,389,359

Changes in endowment funds for the year ended August 31, 2018, are as follows:

	Without donor restrictions	With donor restrictions	Total
Endowment funds, August 31, 2017	\$262,969,343	456,653,741	719,623,084
Contributions	159,890	4,989,288	5,149,178
Investment return:			
Endowment fund earnings	11,572,741	19,601,386	31,174,127
Appreciation of endowment investments, net	10,226,973	17,140,525	27,367,498
Total investment return	21,799,714	36,741,911	58,541,625
Appropriation of endowment assets for expenditure	(10,471,982)	(952,984)	(11,424,966)
Endowment funds, August 31, 2018	\$274,456,965	497,431,956	771,888,921

13. LIQUIDITY AND AVAILABILITY OF FINANCIAL ASSETS

The Center's financial assets available for general expenditure within one year of August 31, 2019, are as follows:

	2019
Total assets	\$858,003,550
Less:	
Net assets with donor restrictions for specified purpose, net of inventory	(11,975,170)
Donor-restricted and board-designated endowment funds	(802,389,359)
Inventory	(7,558,523)
Property and equipment	(4,591,071)
Artwork	(2,425,415)
Other assets	(37,501)
Deferred revenue	(2,046,809)
Annuity obligations	(5,321,752)
	<u>\$ 21,657,950</u>

The primary sources of liquidity for the Center are cash accounts at headquarters and in the field. The Center structures its financial assets to be available as its general expenditures, liabilities, and other obligations come due. In the event of unanticipated liquidity needs, the Center's board of trustees may turn to the portion of the Center's endowment classified as without donor restrictions for consideration.

14. RELATED-PARTY TRANSACTIONS

Emory University provides certain administrative functions to the Center, including, but not limited to, payroll administration, investment management, information technology, and legal services. The Center paid Emory University \$622,980 and \$607,428 during the years ended August 31, 2019 and 2018, respectively, for the provision of these services.

Emory University made unrestricted contributions to the Center of \$740,064 and \$715,730, respectively, during the years ended August 31, 2019 and 2018. In addition, CCEU made unrestricted contributions to CCI, primarily related to endowment earnings at CCEU, of \$459,987 and \$444,853 during the years ended August 31, 2019 and 2018, respectively.

The Center is currently affiliated with two separately incorporated organizations, Carter Centre U.K. and Carter Centre U.K. Foundation. On November 6, 2018, The Carter Centre U.K. and The Carter Centre U.K. Foundation were dissolved. The Carter Centre U.K. Foundation was also removed from the Central Register of Charities in the United Kingdom on November 21, 2018.

15. THE CARTER CENTER COLLABORATIVE, INC. (CCCI)

CCCI received donations of in-kind goods for the benefit of the Center totaling \$115,830,917 and \$253,377,000, respectively, during the years ended August 31, 2019 and 2018, that are included in the accompanying consolidated statements of activities. Expenses totaling \$117,445,704 and \$250,762,250 related to the use or grant of these donations are also included in the accompanying consolidated statements of activities for the years ended August 31, 2019 and 2018, respectively. Inventory related to these goods for CCCI totaled \$7,558,523 and \$9,146,650 as of August 31, 2019 and 2018, respectively, and is included in the accompanying consolidated statements of financial position.

16. COMMITMENTS AND CONTINGENCIES

Federal Financial Assistance

Federally funded programs are routinely subject to special audits that could result in claims against the resources of the Center. Management does not believe that there will be any claims arising from such audits that could have a material adverse effect on the financial position of the Center.

17. SUBSEQUENT EVENTS

The Center has evaluated subsequent events from the consolidated balance sheet date through March 23, 2020, the date at which the consolidated financial statements were available to be issued, and identified the following subsequent event:

Subsequent to fiscal year-end, a novel strain of coronavirus (COVID-19) surfaced. The spread of COVID-19 around the world and in the U.S. during the first quarter of 2020 has caused significant volatility in the global financial markets, including those in the U.S. There is continued uncertainty as to the breadth and duration of this pandemic and the resultant market disruption. The Center is currently unable to determine the impact on its operations and financial condition of potential adverse effects specific to the Center, including impacts to contributions and programming, and market-driven downward valuation of equity securities, among others. Management believes the Center's strong statement of financial position should lessen the eventual impact and allow the Center to weather any negative financial impact.

OUR COMMUNITY





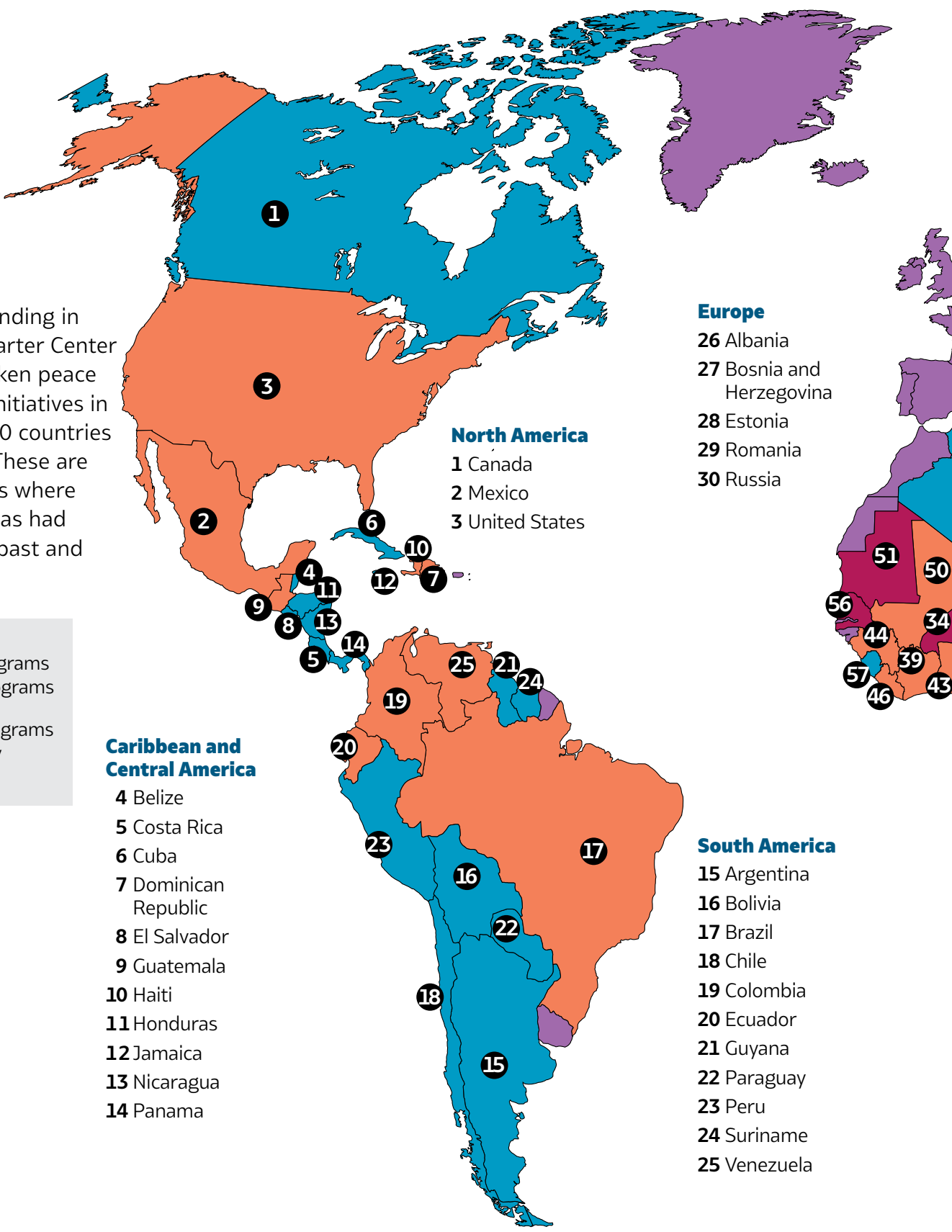
As a social mobilizer, Regina Natube helps educate people in South Sudan about prevention and treatment of Guinea worm disease.

THE CARTER CENTER AROUND THE WORLD

Since its founding in 1982, The Carter Center has undertaken peace and health initiatives in more than 80 countries worldwide. These are the countries where the Center has had a presence, past and present.

Legend

- Peace Programs
- Health Programs
- Peace and Health Programs
- No Activity

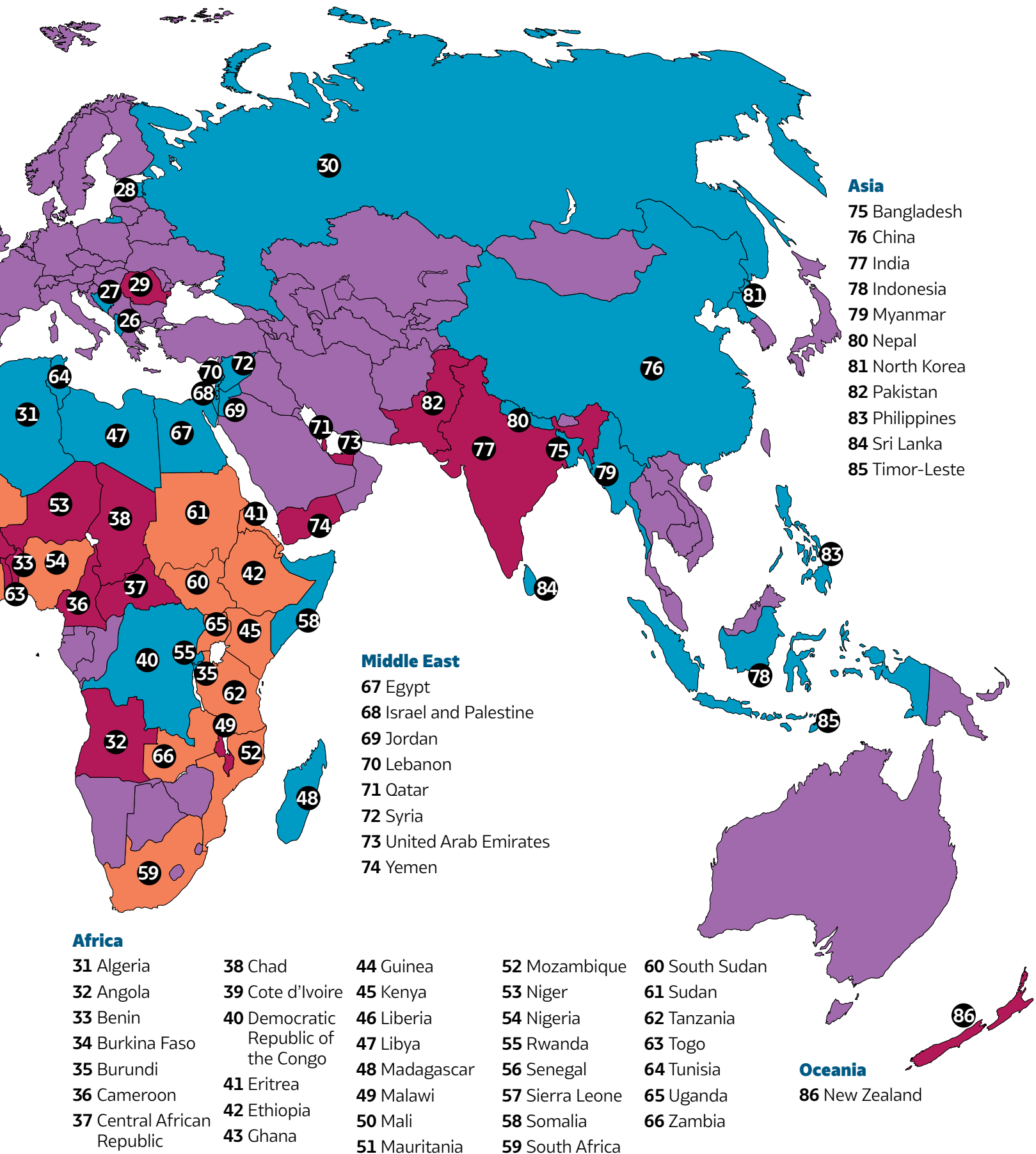


- North America**
- 1 Canada
 - 2 Mexico
 - 3 United States

- Europe**
- 26 Albania
 - 27 Bosnia and Herzegovina
 - 28 Estonia
 - 29 Romania
 - 30 Russia

- Caribbean and Central America**
- 4 Belize
 - 5 Costa Rica
 - 6 Cuba
 - 7 Dominican Republic
 - 8 El Salvador
 - 9 Guatemala
 - 10 Haiti
 - 11 Honduras
 - 12 Jamaica
 - 13 Nicaragua
 - 14 Panama

- South America**
- 15 Argentina
 - 16 Bolivia
 - 17 Brazil
 - 18 Chile
 - 19 Colombia
 - 20 Ecuador
 - 21 Guyana
 - 22 Paraguay
 - 23 Peru
 - 24 Suriname
 - 25 Venezuela



SENIOR STAFF

Ambassador (ret.) Mary Ann Peters
Chief Executive Officer, The Carter Center

Peace Programs

Hrair Balian, J.D.
Director, Conflict Resolution Program

David J. Carroll, Ph.D.
Director, Democracy Program

Yawei Liu, Ph.D.
Director, China Program

Laura Neuman, J.D.
Director, Global Access to Information Program

Laura M. Olson, LL.M., J.D., M.A.
Director, Human Rights Program

Cross-Program

P. Craig Withers Jr., M.H.A., M.B.A.
Senior Director, International Program Support

Health Programs

Dean G. Sienko, M.D., M.S.
Vice President, Health Programs

Stephen B. Blount, M.D., M.P.H.
Director, Special Health Projects

Eve H. Byrd, M.S.N., M.P.H.
Director, Mental Health Program

Kelly Callahan, M.P.H.
Director, Trachoma Control Program

William H. Foege, M.D., M.P.H.
Senior Fellow, Health Policy

Frank O. Richards Jr., M.D.
Director,
– River Blindness Elimination Program
– Lymphatic Filariasis Elimination Program
– Schistosomiasis Control Program

Adam Weiss, M.P.H.
Director, Guinea Worm Eradication Program

Operations

Phillip J. Wise Jr.
Vice President, Operations and Development;
Corporate Secretary

Deanna Congileo, M.A.
Director, Communications;
Press Secretary, Jimmy and Rosalynn Carter

Sabrina Burnett
Director, Human Resources

Finance

Christopher D. Brown, CPA
Vice President, Finance; Treasurer

Educational Programs

Lauren S. Kent-Delany, M.A.
Director, Educational Programs

Research

Steven H. Hochman, Ph.D.
Director, Research;
Faculty Assistant to President Carter

Office of Jimmy and Rosalynn Carter

Lauren L. Gay
Special Assistant to Jimmy Carter

Beth M. Davis
Special Assistant,
Presidential Scheduling

Melissa M. Montgomery
Special Assistant to Rosalynn Carter

INTERNS AND GRADUATE ASSISTANTS

The Carter Center sponsors a competitive internship program, bringing to Atlanta college students and recent graduates from universities around the world each semester. Interns and graduate assistants play a vital role in helping The Carter Center accomplish its peace and health initiatives, and interns serve in many capacities around the Center. In turn, The Carter Center provides a substantive learning experience that serves as a basis for interns to explore their career options and to develop professional skills. The 2018–2019 class of interns and graduate assistants numbered 133 students and recent graduates from 15 countries who spoke 28 languages. Over its history, The Carter Center has had 3,390 interns.



Carter Center intern Hannah Park traveled to Tunisia for the 2019 elections.

INTERNATIONAL TASK FORCE FOR DISEASE ERADICATION

Notable scientists and organizations come together in this Carter Center task force to evaluate the potential for eradicating or controlling infectious diseases. It monitors progress in disease eradication, reviews the status of selected diseases, and recommends opportunities for eradication or better control of diseases such as Guinea worm disease, river blindness, lymphatic filariasis, schistosomiasis, malaria, and measles.

Stephen B. Blount, M.D., M.P.H. (Chair)
Director, Special Health Programs
The Carter Center

J. Peter Figueroa, Ph.D.
Professor of Public Health,
Epidemiology and HIV/AIDS
University of the West Indies

Donald R. Hopkins, M.D., M.P.H.
Special Advisor, Guinea Worm
Eradication
The Carter Center

Fernando Lavadenz, M.D., M.P.H.
Senior Health Specialist
Health, Nutrition, and Population
The World Bank

Mwelecele Malecela, Ph.D., M.Sc.
Director, Department of Control
of Neglected Tropical Diseases
World Health Organization

David Molyneux, Ph.D., D.Sc., Hon. F.R.C.P.
Professor, Tropical Health
Sciences
Liverpool School of
Tropical Medicine

Ana Morice, M.D., M.Sc., M.P.H.
Independent Consultant

Stefan Swartling Peterson, Ph.D., M.P.H.
Chief, Health Section; Associate
Director, Programme Division
UNICEF

David A. Ross, Sc.D.
President and CEO
Task Force for Global Health

Dean Sienko, M.D., M.S.
Vice President, Health Programs
The Carter Center

Nilanthi R. de Silva, M.D.
Dean, Faculty of Medicine
University of Kelaniya

Laurence (Larry) Slutsker, M.D., M.P.H.
Director, Malaria and Neglected
Tropical Diseases
PATH

Jordan Tappero, M.D., M.P.H.
Senior Program Officer, Neglected
Tropical Diseases
Bill & Melinda Gates Foundation

Ricardo Thompson, Ph.D.
Senior Researcher, National
Institute of Health
Republic of Mozambique

Dyann Wirth, Ph.D., M.A.
Professor of Immunology and
Infectious Diseases
Harvard School of Public Health
Director of Harvard Malaria
Initiative

FRIENDS OF THE INTER-AMERICAN DEMOCRATIC CHARTER

The Friends of the Inter-American Democratic Charter comprises former presidents, prime ministers, and cabinet ministers from the Western Hemisphere who seek to increase the visibility of the tenets of the charter and to prevent democratic tensions from erupting into crises.

Diego Abente Brun
Former Minister of Justice and
Labor of Paraguay

Mariclaire Acosta
Former Undersecretary of Foreign
Relations for Human Rights and
Democracy of Mexico

Nicolás Ardito Barletta
Former President of Panama

Carlos Ayala Corao
Former President, Inter-American
Commission on Human Rights

Cecilia Blondet
Former Minister for the
Advancement of Women and
Human Development of Peru

Catalina Botero Marino
Former Special Rapporteur
for Freedom of Expression for
Inter-American Commission on
Human Rights

Humberto de la Calle
Former Vice President
of Colombia

Santiago Canton
Former Director of RFK Partners
for Human Rights for Robert F.
Kennedy Center for Justice and
Human Rights

Fernando Henrique Cardoso
Former President of Brazil

Jimmy Carter
Former President of the
United States of America

Jorge Castañeda
Former Minister of Foreign
Affairs of Mexico

Joe Clark
Former Prime Minister of Canada

Santiago Corcuera
U.N. Rapporteur and Chair for
Working Group on Enforced or
Involuntary Disappearances

Alejandro Foxley
Former Minister of Foreign
Affairs of Chile

Enrique García
Former Executive President
of the Development Bank of
Latin America

Diego García-Sayán
Former Foreign Minister of Peru

César Gaviria
Former President of Colombia

John Graham
Chair *Emeritus*, Canadian
Foundation for the Americas

Oswaldo Hurtado
Former President of Ecuador

Torquato Jardim
Former Justice of the Superior
Electoral Tribunal of Brazil

Serena Joseph-Harris
Former High Commissioner for the
Republic of Trinidad and Tobago
to the United Kingdom

Luis Alberto Lacalle
Former President of Uruguay

Samuel Lewis
Former Vice President of Panama

**Jennie K. Lincoln
(Executive Secretary)**
Senior Advisor for Latin America
and the Caribbean, The Carter
Center

John Maisto
Former U.S. Ambassador to the
Organization of American States

John Manley
Former Minister of Foreign
Affairs of Canada

Carlos Mesa
Former President of Bolivia

Andrés Pastrana
Former President of Colombia

Sonia Picado
Chair of the Board of Directors of
the Inter-American Institute of
Human Rights

Sergio Ramírez
Former Vice President of
Nicaragua

Sir Ronald Sanders
Member of the Commonwealth
Eminent Persons Group
2010–2011

Bruno Stagno
Former Foreign Minister of
Costa Rica

Eduardo Stein
Former Vice President of
Guatemala

Martín Torrijos
Former President of Panama

Fernando Tuesta Soldevilla
Former Director, National Office
of Electoral Processes, Peru

Joaquín Villalobos
Founder of the Farabundo Martí
National Liberation Front,
Signatory of the Peace Agreements
of El Salvador in 1992

THE CARTER CENTER MENTAL HEALTH TASK FORCE

Chaired by former First Lady Rosalynn Carter, the Mental Health Task Force focuses on mental health policy issues. It develops initiatives to reduce stigma and discrimination against people with mental illnesses; seeks equity for mental health care comparable to other health care; advances

prevention, promotion, and early intervention services for young children and their families; and works to increase public awareness and stimulate actions about mental health issues.

Rosalynn Carter, Chair

Renato D. Alarcon, M.D., M.P.H.

Professor of Psychiatry, *Emeritus*, Mayo Clinic

William R. Beardslee, M.D.

Director, Baer Prevention Initiatives, Boston Children's Hospital

Pamela Collins, M.D., M.P.H.

Professor of Psychiatry and Behavioral Sciences, University of Washington

Benjamin G. Druss, M.D., M.P.H.

Rosalynn Carter Endowed Chair in Mental Health, Rollins School of Public Health, Emory University

Mary Jane England, M.D.

Professor, Boston University School of Public Health

Rosa Gil, D.S.W.

President and Chief Executive Officer, Comunilife Inc.

W. Rodney Hammond, Ph.D.

Director (Retired), Division of Violence Prevention, Centers for Disease Control and Prevention

Ethleen Iron Cloud-Two Dogs, M.S.

Senior Tribal Prevention Specialist, Colorado State University

Stanley S. Jones Jr., J.D.

Partner; Nelson, Mullins, Riley and Scarborough, LLP

Nadine J. Kaslow, Ph.D., ABPP

Professor and Chief Psychologist, Department of Psychiatry and Behavioral Sciences, Emory University

Jennifer Olsen, D.Ph., M.P.H.

Executive Director, Rosalynn Carter Institute for Caregiving

Ruth Perou, Ph.D.

Behavioral Scientist, National Center on Birth Defects and Developmental Disabilities, U.S. Centers for Disease Control and Prevention

Sally Engelhard Pingree

President, The S. Engelhard Center; Trustee, The Charles Engelhard Foundation

Shekhar Saxena, M.D., FRCPsych., D.A.B., M.R.C.

Professor of Global Mental Health, Harvard T.H. Chan School of Public Health

Leslie Scallet, J.D.

Washington, D.C.

Joel Slack

President, Slack Consulting

Ex-Officio

Thomas H. Bornemann, Ed.D.

Former Director, Carter Center Mental Health Program

Kathryn Cade

Vice Chair, Carter Center Board of Trustees

Gregory L. Fricchione, M.D.

Associate Chief of Psychiatry, Massachusetts General Hospital

John J. Gates, Ph.D.

Former Director, Carter Center Mental Health Program

Larke Huang, Ph.D.

Director, Office of Behavioral Health Equity, SAMHSA

THE ROSALYNN CARTER FELLOWSHIPS FOR MENTAL HEALTH JOURNALISM ADVISORY BOARD

Advisory board members select fellows and serve as mentors who provide technical assistance and share professional contacts within their fields of expertise.

Kathryn Cade

Carter Center Mental Health Task Force Liaison

Caroline S. Clauss-Ehlers, Ph.D.

Associate Professor, Rutgers University

Benjamin G. Druss, M.D., M.P.H.

Rosalynn Carter Endowed Chair for Mental Health, Rollins School of Public Health, Emory University

Larry Fricks

Deputy Director, SAMHSA-HRSA, Center for Integrated Health Solutions

Katie Hawkins-Gaar

Digital Innovations Faculty, Poynter

Kay Redfield Jamison, Ph.D.

Professor of Psychiatry, The Johns Hopkins University School of Medicine

Hank Klibanoff

Professor of Practice, Creative Writing Program, Emory University

Lawrence A. Kutner, Ph.D.

Executive Director, Stanford Pre-College Studies, Stanford University

Bill Lichtenstein

President, Lichtenstein Creative Media

Tori Murden McClure, M.Div., J.D., M.F.A.

President, Spalding University

Bob Meyers

President, *Emeritus*, National Press Foundation

Ellen Mickiewicz, Ph.D.

James R. Shepley Professor of Public Policy Studies, Duke University

Arlene Morgan

Assistant Dean, School of Media and Communication, Temple University

International Advisors

Sultana Afdahl

CEO, World Innovation Summit for Health, Qatar

Yahira Rossini Guzmán Sabogal

Head of Mental Health, Universidad de la Sabana, Colombia

Victor M. García

Assistant Professor, Universidad de la Sabana, Colombia

Cristina Lupu

Executive Director, Center for Independent Journalism, Romania

Zane Wilson

Founder South African Depression and Anxiety Group, South Africa

BOARD OF COUNCILORS

The Board of Councilors is a leadership advisory group that promotes understanding of and support for The Carter Center in advancing peace and health around the world. Members attend quarterly presentations and act as advocates for The Carter Center.

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Chairman, President and CEO
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Chief Administrative Officer
and Senior Vice President of
Corporate Development
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Las Catalinas Holding Company

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Director
Bombardier, Jabil Circuit,
Constellium, and CARE USA

Kenneth G. Byers Jr.
Byers Engineering Company

President Jimmy Carter

Rosalynn Carter

John L. Clendenin
BellSouth Corp.

Robert B. Colgin
Publisher
The Social List of Atlanta, Georgia

Shan Cooper
Atlanta Committee for Progress

Ann Wilson Cramer
Coxe Curry & Associates

Bradley N. Currey Jr.
Rock-Tenn Company

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Mirant Corp.

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Goldman Sachs & Co.

L. Reade Fahs
National Vision

J. Rex Fuqua
Fuqua Capital Corporation

E. Alex Gregory
YKK Corporation of America

John B. Hardman, M.D.

Laura Hardman

Philip J. Hickey Jr.

Robert M. Holder Jr.
The RMH Group

W. Thomas Johnson
CNN News Group

Ingrid Saunders Jones
The Coca-Cola Company

James R. Lientz Jr.
Safe Harbor Consulting

Dennis P. Lockhart
Federal Reserve Bank of Atlanta

Gay M. Love
Printpack

James R. Margard

Kent C. “Oz” Nelson
United Parcel Service

Dr. Lauren Speeth
The Elfenworks Foundation

Robert E. “Ted” Turner III
Turner Enterprises

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Hodges Ward Elliott

Robert A. Yellowlees
Global Payments

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GHS Holdings

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Partner
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Founder and Chairman
Regent Partners

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Gayle Alston

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Mark R. Bell II, Ph.D.
Head of Family Office Services
and Private Capital
Balentine

Daniel Berman
Founder, Chairman, and CEO
PharmaCentra

Kathy Betty

J. Veronica Biggins
Managing Director
Diversified Search

Joseph Bild
Managing Partner
Bild Alternative Investment Corp.

Robert R. Binns
President
Air Wisconsin Airlines

Becky Blalock
Managing Partner
Advisory Capital

Emmet Bondurant
Partner
Bondurant, Mixson & Elmore

Susan V. Booth
Artistic Director
Alliance Theatre

Raphael Bostic, Ph.D.
President and CEO
Federal Reserve Bank of Atlanta

Maceo A. Brown
President
System 5 Electronics

Amanda Brown-Olmstead
President/Chief Executive Officer
A. Brown-Olmstead Associates

Annie Hunt Burriss, Ph.D.
CEO
Jinfiniti Diagnostics

Richard Carrano
Director
Purchasing Power

Amy Carter

Chip and Becky Carter

Hugh A. Carter Jr.
Chairman and President
Darby Printing Company

Jack and Elizabeth Carter

James and Sally Carter

Hon. Jason and Kate Carter

Jeff and Annette Carter

Joshua and Sarah Carter

**Sarah Carter, Ph.D., and
Brendan Murphy, Ph.D.**

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Two children run across the desert near a camp for displaced persons in Mali.

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